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**POLYNOMIAL UNCROSSING  
PROCESSES**

by

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# POLYNOMIAL UNCROSSING PROCESSES

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**Abstract.** Let  $\mathcal{F}$  be a family of subsets of a set  $V$ , and  $f$  be a nonnegative integer-valued function on  $\mathcal{F}$ . An uncrossing process is a procedure of reforming  $\mathcal{F}$  and  $f$  to  $\mathcal{F}'$  and  $f'$  such that  $\mathcal{F}'$  is laminar by use of certain elementary operations (“uncrossing steps”) with crossing sets in a current family. Uncrossing steps of two natural types are considered. For both types, we prove the existence of an uncrossing process consisting of a polynomial number of uncrossing steps.

## 1. INTRODUCTION

Let  $V$  be a finite set and  $\mathcal{S} \subseteq 2^V$  be a family of subsets of  $V$ . Two sets  $X, Y \subseteq V$  are called *crossing* (denoted as  $X \bowtie Y$ ) if none of  $X - Y$ ,  $Y - X$ ,  $X \cap Y$  and  $V - (X \cup Y)$  is empty; otherwise they are called *laminar* (denoted as  $X \parallel Y$ ). A family  $\mathcal{S}' \subseteq 2^V$  is called *laminar* if it has no crossing pairs. We associate with a subset  $\emptyset \neq X \subset V$  the set  $\delta^V(X)$  of edges of  $K_V$  having one end in  $X$  and the other in  $V - X$  (a *cut* in  $K_V$ ); here  $K_V = (V, E_V)$  is the complete undirected graph with the vertex-set  $V$ .

It will be convenient for our further description to assume that  $\mathcal{S}$  (as well as each family of subsets occurring later) is symmetric, that is,  $X \in \mathcal{S}$  implies  $\bar{X} := V - X \in \mathcal{S}$ ; such an assumption will lead to no loss of generality. We shall deal with  $\mathcal{S}$  that is *cross-closed*. This means that for any crossing  $X, Y \in \mathcal{S}$  there are  $X', Y' \in \mathcal{S}$  such that either  $X' = X - Y$  and  $Y' = Y - X$ , or  $X' = X \cap Y$  and  $Y' = X \cup Y$ ; we say that the pair  $\{X', Y'\}$  is obtained by *uncrossing*  $X$  and  $Y$  (in [K2] the term “2-complete” was introduced for such a family  $\mathcal{S}$ ). E.g., the following families  $\mathcal{S}$  are cross-closed:

(Ex1):  $\mathcal{S}$  is the symmetrization of a so-called “crossing family”  $\mathcal{S}'$ , that is,

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$\mathcal{S}'$  satisfies the property that  $X \cap Y, X \cup Y \in \mathcal{S}'$  holds for any crossing  $X, Y \in \mathcal{S}'$  (cf. [EG]);

(Ex2):  $\mathcal{S}$  consists of the subsets  $X \subseteq V$  such that  $|X \cap T|$  is odd, where  $T \subseteq V$  is a subset with  $|T|$  even (a cut  $\delta^V(X)$  for  $X \in \mathcal{S}$  is usually called a  $T$ -cut (cf. [S]);  $T$ -cuts arise, in particular, in connection with the Chinese postman problem [M,EJ]).

(Ex3):  $\mathcal{S}$  is the set of  $X$ 's such that  $|\delta^V(X) \cap U| = 1$  for some  $U \subseteq E_V$  (cf. [S]);

(Ex4):  $\mathcal{S}$  is the set of  $X$ 's such that  $\sum(a(e) : e \in \delta^V(X))$  is odd, where  $a$  is an integer-valued function on  $E_V$  (cf. [K1,K2]).

Now suppose that we are given a subfamily  $\mathcal{F} \subseteq \mathcal{S}$  and a mapping  $f : \mathcal{F} \rightarrow \mathbb{Z}^+$  ( $\mathbb{Z}^+$  is the set of nonnegative integers); we shall assume that  $f$  is symmetric, that is,  $f(X) = f(\bar{X})$ .  $\mathcal{F}$  and  $f$  can be reformed to new  $\mathcal{F}'$  and  $f'$  as follows:

- (i) choose some crossing sets  $X, Y \in \mathcal{F}$ ; and choose  $X', Y' \in \mathcal{S}$  obtained by uncrossing  $X$  and  $Y$  (if all  $X - Y, Y - X, X \cap Y, X \cup Y$  occur in  $\mathcal{S}$ , there are two possibility for choice of  $\{X', Y'\}$ );
- (ii) add the sets  $X', \bar{X}', Y', \bar{Y}'$  to  $\mathcal{F}$  forming  $\mathcal{F}'$ , and put  $f(Z) := 0$  for each  $Z \in \{X', \bar{X}', Y', \bar{Y}'\}$  which is not contained in  $\mathcal{F}$ ;
- (iii) where  $a := \min\{f(X), f(Y)\}$ , put  $f'(Z) := f(Z) - a$  for  $Z = X, \bar{X}, Y, \bar{Y}$ ;  $f'(Z') := f(Z') + a$  for  $Z' = X', \bar{X}', Y', \bar{Y}'$ ; and  $f'(Z'') := f(Z'')$  for the remaining  $Z''$  in  $\mathcal{F}$ ;
- (iv) delete the members  $Z$  from  $\mathcal{F}'$  such that  $f'(Z) = 0$ .

Note that the family  $\mathcal{S}$  can be given implicitly by an oracle that, being asked of a set  $X \subseteq V$ , tells us whether or not  $X$  belongs to  $\mathcal{S}$ ; in usual applications, this oracle is realized by a procedure polynomial in  $|V|$ .

We say that the procedure (i)-(iv) is an *uncrossing step of type 1*, denoted as  $X, Y \rightarrow X', Y'$ . Another type of uncrossing steps, *type 2*, is defined for  $\mathcal{S} = 2^V$  as follows:

- (i') choose crossing  $X, Y \in \mathcal{F}$ ; let  $X' := X - Y, Y' := Y - X, X'' := X \cap Y, Y'' := X \cup Y$ , and let  $a := \min\{f(X), f(Y)\}$ ;
- (ii') add the sets  $X', Y', X'', Y''$  and their complements to  $\mathcal{F}$  forming  $\mathcal{F}'$ , and

put  $f(Z) := 0$  for each  $Z \in \mathcal{F}'$  which is not in  $\mathcal{F}$ ;

(iii') turn to an oracle that gives us an integer  $b$  such that  $0 \leq b \leq a$ ;

(iv') form  $f'$  on  $\mathcal{F}'$  by decreasing  $f(Z)$  by  $a$  for  $Z = X, \bar{X}, Y, \bar{Y}$ ; by increasing  $f(Z)$  by  $b$  for  $Z := X', \bar{X}', Y', \bar{Y}'$  and by  $a - b$  for  $Z := X'', \bar{X}'', Y'', \bar{Y}''$ ; and keeping the same  $f(Z)$  for the remaining  $Z$  in  $\mathcal{F}$ ;

(v') delete the members  $Z$  from  $\mathcal{F}'$  such that  $f'(Z) = 0$ .

Clearly an uncrossing step of type 1 is a special case of that of type 2. An *uncrossing process* is a sequence of uncrossing steps of a given type fulfilling until a current family  $\mathcal{F}$  becomes laminar. Such a process is always finished in a finite number of steps independently of what sets  $X$  and  $Y$  are chosen in each step and what are  $\mathcal{F}'$  and  $f'$  resulting for this step. Indeed, for  $e \in E_V$  define the value  $c_f(e)$  to be  $\sum(f(X) : X \in \mathcal{F}, e \in \delta^V(X))$ . It is easy to see that for  $f, f'$  and  $a$  as in (i)-(iv) or in (i')-(v') the following is true:

- (1)  $c_{f'}(e) \leq c_f(e)$  for all  $e \in E_V$  and there are edges  $e, e' \in E_V$  (possibly  $e = e'$ ) such that  $c_{f'}(e) + c_{f'}(e') = c_f(e) + c_f(e') - 4a$ .

We prove the following theorems.

**Theorem 1.** *There exists an uncrossing process with uncrossing steps of type 2 in which the number of steps is bounded by a polynomial in  $n := |V|$ ,  $m := |\mathcal{F}|$  and  $\log(\|f\| + 1)$  where  $\|f\| := \sum(f(X) : X \in \mathcal{F})$ .*

**Theorem 2.** *There exists an uncrossing process with uncrossing steps of type 1 in which the number of steps is bounded by a polynomial in  $n$  and  $m$ .*

The proofs of Theorems 1 and 2 will provide polynomial algorithms to arrange required processes.

**Remark.** In [GLS] a polynomial uncrossing technique was developed for  $S$  as in (Ex1) in connection with the submodular flow problem [EG]. More precisely, a polynomial algorithm was described there which for given  $\mathcal{F} \subseteq S$  and  $f : \mathcal{F} \rightarrow \mathbb{Z}^+$  finds a laminar family  $\mathcal{F}' \subseteq S$  and a function  $f' : \mathcal{F}' \rightarrow \mathbb{Z}^+$  such that  $\sum(f'(X) : X \in \mathcal{F}') = \sum(f(X) : X \in \mathcal{F})$  and  $c_{f'}(e) \leq c_f(e)$  for any  $e \in E_V$ . Note that this algorithm, first, uses procedures different from "pure" uncrossing steps as above and, second, it is not generalized to an arbitrary

cross-closed family.

The following simple statements will be used in our proofs.

(1.1) Let  $X, Y, Z \subseteq V$  be such that  $X \not\parallel Y$ ,  $X \parallel Z$  and  $Y \parallel Z$ , and let  $X' \in \{X - Y, Y - X, X \cap Y, X \cup Y\}$ . Then  $X' \parallel Z$ .

(1.2) If  $\mathcal{F}'$  is a laminar family on an  $n$  element set, then  $|\mathcal{F}'| < 4n$ .

(1.1) is easy to prove. To see (1.2), denote by  $\alpha(n)$  the maximum cardinality of a laminar family on an  $n$  element set. One can show that  $\alpha(n) \leq \alpha(n-1) + 4$ , whence (1.2) follows.

## 2. PROOF OF THEOREM 1.

Let  $V$ ,  $\mathcal{F}$  and  $f$  be as above. We say that a family  $\mathcal{R}$  of subsets of a set  $W$  is *cyclical* if there is an ordering  $\{v_1, v_2, \dots, v_r = v_0\}$  ( $r = |W|$ ) of elements of  $W$  such that each set  $X \in \mathcal{R}$  is of the form  $\{v_i, v_{i+1}, \dots, v_j\}$  for some  $i, j \in \{1, \dots, r\}$  (the indices are taken modulo  $r$ ). First of all we prove the following lemma.

**Lemma 2.1.** *An uncrossing process for  $\mathcal{F}$  and  $f$  can be arranged so that it consists of at most  $2n(m/2 - 1)$  uncrossing processes, each for a cyclical family  $\mathcal{R}$  on  $V$  and a function  $g : \mathcal{R} \rightarrow \mathbb{Z}^+$  with  $\|g\| \leq \|f\|$ .*

*Proof.* Choose a laminar subfamily  $\mathcal{L}_1$  in  $\mathcal{F}$  and a pair  $\{X_1, \bar{X}_1\} \subseteq \mathcal{F} - \mathcal{L}_1$  and fulfil an uncrossing process for  $\mathcal{L}_1 \cup \{X_1, \bar{X}_1\}$  forming a laminar family  $\mathcal{L}_2$  and some function on  $\mathcal{L}_2$ . Then choose a new pair  $\{X_2, \bar{X}_2\}$  in  $\mathcal{F} - (\mathcal{L}_1 \cup \{X_1, \bar{X}_1\})$  and fulfil an uncrossing process for  $\mathcal{L}_2 \cup \{X_2, \bar{X}_2\}$ , and so on. As a result, after  $k \leq m/2 - 1$  iterations we get a laminar family  $\mathcal{F}' := \mathcal{L}_{k+1}$  required in Theorem 1.

Now we show how to arrange an uncrossing process for a family consisting of a laminar subfamily  $\mathcal{L}$  and a pair  $\{A, \bar{A}\}$ . We say that a set  $Y \subseteq V$  *separates* a set  $X \subseteq V$  if both  $X \cap Y$  and  $X - Y$  are nonempty. A set  $X \subseteq V$  is called *bi-partitioned* with respect to a laminar family  $\mathcal{D} \subset 2^V$  if there is  $Z \subset X$  such that

- (2) for any  $Y \in \mathcal{D}$ ,  $Y$  separates neither  $Z$  nor  $X - Z$ , that is,  $X \cap Y \in \{Z, X - Z, X, \emptyset\}$ .

Suppose we are given two laminar families  $\mathcal{P}$  and  $\mathcal{D}$  satisfying the following property:

- (3) for each  $X \in \mathcal{P}$ , at least one of  $X$  and  $\bar{X}$  is bi-partitioned with respect to  $\mathcal{D}$ .

Obviously, (3) holds for  $\mathcal{P} := \mathcal{L}$  and  $\mathcal{D} := \{A, \bar{A}\}$ . Choose a *maximal* set  $X \in \mathcal{P}$  which is bi-partitioned with respect to  $\mathcal{D}$  and fulfil an uncrossing process for the family  $\mathcal{D} \cup \{X, \bar{X}\}$ . As a result, we get a laminar family  $\mathcal{D}'$ . Put  $\mathcal{P}' := \mathcal{P} - \{X, \bar{X}\}$ .

**Claim.** For each  $X' \in \mathcal{P}'$  at least one of  $X'$  and  $\bar{X}'$  is bi-partitioned with respect to  $\mathcal{D}'$ .

*Proof.* Since  $\mathcal{P}$  is symmetric, we may assume that either  $X' \subset X$  or  $X \cap X' = \emptyset$ . Then  $X'$  is bi-partitioned with respect to  $\mathcal{D}$ . Indeed, in the case  $X' \subset X$ , this obviously follows from the fact that  $X$  is bi-partitioned. And in the case  $X \cap X' = \emptyset$ , this is so because  $\bar{X}'$  cannot be bi-partitioned by the maximal choice of  $X$ . Thus there is  $Z' \subset X'$  such that any  $Y \in \mathcal{D}$  separates neither  $Z'$  nor  $X' - Z'$ ; if  $X' \subset X$  we put  $Z' := X' \cap Z$ . This easily implies that the same property holds for  $X'$ ,  $Z'$  and each  $Y$  arising during the uncrossing process for  $\mathcal{D} \cup \{X, \bar{X}\}$ , whence the result follows.  $\square$

In view of the Claim, an uncrossing process for  $\mathcal{L}$  and  $\{A, \bar{A}\}$  as above is reduced to  $|\mathcal{L}|/2 < 2n$  uncrossing processes for families  $\tilde{\mathcal{D}} = \mathcal{D} \cup \{X, \bar{X}\}$  such that  $\mathcal{D}$  is laminar and  $X$  is bi-partitioned with respect to  $\mathcal{D}$ .

Let  $\mathcal{D}_X$  be the set of those members of  $\mathcal{D}$  which are crossing  $X$ . One may assume that  $\mathcal{D}_X \neq \emptyset$  (otherwise  $\tilde{\mathcal{D}}$  is already laminar). In view of (1.1), it suffices to arrange an uncrossing process for the family  $\mathcal{D}_X \cup \{X, \bar{X}\}$  instead of  $\tilde{\mathcal{D}}$ . Let  $Z$  be as in (2) for given  $X$  and  $\mathcal{D}$ . Put  $\mathcal{D}' := \{Y \in \mathcal{D}_X : X \cap Y = Z\}$ ; then  $\mathcal{D}_X = \mathcal{D}' \cup \{\bar{Y} : Y \in \mathcal{D}'\}$ . We have  $\emptyset \neq Z \subset Y$ ,  $\emptyset \neq X - Z \subset \bar{Y}$  for each  $Y \in \mathcal{D}_X$ , and now the laminarity of  $\mathcal{D}_X$  implies that any two  $Y', Y'' \in \mathcal{D}'$  satisfy

either  $Y' \subset Y''$  or  $Y'' \subset Y'$ . Therefore, there is a partition  $(V_1, V_2, \dots, V_r = V_0)$  of  $V$  such that  $V_1 = Z$ ,  $V_r = X - Z$  and each  $Y \in \mathcal{D}_X \cup \{X, \bar{X}\}$  is a set of the form  $V_1 \cup V_2 \cup \dots \cup V_i$  for some  $1 < i < r$ . This implies that  $\mathcal{D}_X \cup \{X, \bar{X}\}$  is a cyclical family, whence the lemma follows (the inequality  $\|g\| \leq \|f\|$  holds because the value  $\|\cdot\|$  does not increase on any uncrossing step).  $\square$

**Remark 2.2.** Each cyclical family occurring in the above proof is equivalent to a cyclical family  $\mathcal{R}$  on an ordered set  $W = (v_1, \dots, v_r)$  having the additional properties: (i)  $\mathcal{R} = \{X, \bar{X}\} \cup \mathcal{B}$ ; (ii)  $X = \{v_1, v_r\}$ ; and (iii)  $\mathcal{B}$  is a laminar family each set of which is crossing  $X$ . These properties will be important for the proof of Theorem 2 in the next section.

Now the theorem immediately follows from Lemma 2.1 and the following lemma.

**Lemma 2.3** *Let  $\mathcal{R}$  be a cyclical family on an ordered set  $W = (v_1, \dots, v_r)$  and  $g : \rightarrow \mathbb{Z}^+$  be a function. There exists an uncrossing process for  $\mathcal{R}$  and  $g$  consisting of  $\log \|g\|$  times a polynomial in  $r$  uncrossing steps.*

*Proof.* Note that an arbitrary cyclical family on  $W$  has the obvious property that each set obtained by uncrossing its crossing members has again the form  $\{v_i, v_{i+1}, \dots, v_j\}$ . Therefore any intermediate family  $\mathcal{R}'$  arising during an uncrossing process for  $\mathcal{R}$  and  $g$  is cyclical; this, in particular, implies that the cardinality of  $\mathcal{R}'$  is at most  $r(r-1)$ . We say that a set  $X = \{v_i, v_{i+1}, \dots, v_j\}$  is *essential* if  $2 \leq |X| \leq r-2$ . Let  $\mathcal{R}$  and  $g$  denote a current cyclical family and a function on  $\mathcal{R}$  in the uncrossing process. In a current iteration we choose an essential set  $X \in \mathcal{R}$  with  $g(X)$  *maximum* (if there is no essential set in  $\mathcal{R}$ , then  $\mathcal{R}$  is laminar) and fulfil uncrossing steps, one by one, with the fixed set  $X$  and members of  $\mathcal{R}_X := \{Y \in \mathcal{R} : Y \not\parallel X\}$ . Two cases are possible.

*Case 1.*  $g(X) \geq \frac{1}{2}g(\mathcal{R}_X)$  where  $g(\mathcal{R}_X) := \sum(g(Y) : Y \in \mathcal{R}_X)$ . Then all the sets of  $\mathcal{R}_X$  vanish during the iteration, and any set  $X'$  of the resulting family  $\mathcal{R}'$  is laminar to  $X$ . Therefore, after this iteration we can split the uncrossing problem for  $\mathcal{R}'$  into two problems, one for the family  $\mathcal{R}'_1 := \{Y \in \mathcal{R}' : Y \subset X \text{ or } W - Y \subset X\}$  and the other for  $\mathcal{R}'_2 := \{Y \in \mathcal{R}' : X \subset Y \text{ or } X \subset W - Y\}$ . The problem for the former (latter) family is, in fact, that for a cyclical family on the set  $W_1$  ( $W_2$ ) obtained from  $W$  by identifying the elements of the subset  $W - X$  (respectively,  $X$ ). We have  $|W_i| < r$ ,  $i = 1, 2$ , and  $|W_1| + |W_2| = r + 2$ .

Furthermore, if  $|W_i| \leq 3$  then  $\mathcal{R}'_i$  is obviously laminar. This implies that the total amount of those iterations (for all families arising on  $W$  and reduced sets) in which Case 1 occurs is bounded by a polynomial in  $r$ .

*Case 2.*  $g(X) < \frac{1}{2}g(\mathcal{R}_X)$ . Introduce the value

$$\beta := \beta(\mathcal{R}, g) := \sum_{e \in E_W} \sum (g(X) : X \in \mathcal{R}, X \text{ is essential and } e \in \delta^W(X)),$$

where  $E_W$  is the edge-set of  $K_W$ . Let  $\mathcal{R}'$  and  $g'$  be the family and the function obtained as a result of the iteration. The sets  $X$  and  $\bar{X}$  vanish during the iteration. In view of (1), this implies that  $\beta' := \beta(\mathcal{R}', g') \leq \beta - 4g(X)$ . Furthermore, it follows from the maximal choice of  $X$  that  $\beta \leq |E_W|g(X)|\mathcal{R}| < r^4g(X)$ . Therefore,

$$(4) \quad \beta' < \beta \left(1 - \frac{4}{r^4}\right).$$

Now suppose that Case 2 occurs in  $k$  successive iterations, and let  $\beta_0$  and  $\beta_1$  be the values of  $\beta$  in the first and the  $k$ -th of these iterations respectively. We may assume that  $\beta_1 \geq 1$ . Then (4) and the fact that  $\beta_0 \leq r^2\|g\|$  imply that  $k$  is no more than  $\log \|g\|$  times a polynomial in  $r$ . □

### 3. PROOF OF THEOREM 2

In this section by an uncrossing step we mean that of type 1. According to Lemma 2.1 and Remark 2.2, it suffices to arrange an uncrossing process (with a polynomial in  $r$  number of uncrossing steps) for  $\mathcal{R}$  and  $g : \mathcal{R} \rightarrow \mathbb{Z}^+$  such that  $\mathcal{R}$  is a subfamily of a cross-closed family  $\mathcal{C}$  on an ordered set  $W = (v_1, \dots, v_r)$  and  $\mathcal{R}$  consists of two laminar families  $\mathcal{L}$  and  $\mathcal{B}$  with  $\mathcal{L} = \{X, \bar{X}\}$  and  $X = \{v_1, v_r\}$ . Moreover, we may assume that

(5) for each  $Y \in \mathcal{R}$  there is  $Z \in \mathcal{R}$  such that  $Y$  and  $Z$  are crossing



(otherwise, in view of (1.1), we can eliminate  $Y$  from  $\mathcal{R}$ ); and

(6) for  $i = 1, \dots, r$ , there is a set in  $\mathcal{R}$  separating  $v_{i-1}$  and  $v_i$

(otherwise we can identify  $v_{i-1}$  and  $v_i$  decreasing the cardinality of the basic set  $W$ ). Here we call  $Z \subset W$  *separating* elements  $u, v \in W$ , or separating a pair  $\{u, v\}$ , if  $Z$  contains exactly one of  $u$  and  $v$ . It follows from (5) that  $\mathcal{R}$  contains only *essential* sets  $Z$ , that is,  $2 \leq |Z| \leq r - 2$ . Note also that (6) implies that

(7)  $\mathcal{C}$  contains the set  $Y_i := \{v_1, \dots, v_i\}$  for  $i = 2, \dots, r - 2$ .

We will be forced to consider in our proof a family  $\mathcal{R} = \mathcal{L} \cup \mathcal{B}$  of a slightly more general form. Namely, as above,  $\mathcal{B}$  is a laminar family such that

(8) each  $Y \in \mathcal{B}$  separates  $v_1$  and  $v_r$

while  $\mathcal{L}$  is a laminar family which can contain more than two sets but such that

(9) each  $X \in \mathcal{L}$  separates  $v_1$  and  $v_2$ .

We shall show that for such an  $\mathcal{R}$  there exists an uncrossing process consisting of  $O(r^3)$  uncrossing steps.

We proceed by induction on

$$\omega := \omega(r, \mathcal{R}) := r^3 + r|\mathcal{L}|/2 + d,$$

considering all  $W = (x_1, \dots, v_r)$ ,  $\mathcal{C}$  and  $\mathcal{R} = \mathcal{L} \cup \mathcal{B}$  satisfying (5)-(9). Here  $d := d(\mathcal{R})$  denotes the minimum number  $i$  such that  $\mathcal{R}$  contains the set  $X_i := \{v_2, \dots, v_i\}$ .

In what follows  $\mathcal{R}' = \mathcal{L}' \cup \mathcal{B}'$  and  $g'$  will denote corresponding items arising when we apply to current  $\mathcal{R}$  and  $g$  an uncrossing step  $X, Y \rightarrow X', Y'$  and then delete each set of the resulting family which is laminar to all other ones. We also denote by  $r(\mathcal{R}')$  the number of maximal subsets  $\{v_i, \dots, v_j\}$  which are separated

by no set in  $\mathcal{R}'$ . Clearly if  $r(\mathcal{R}') < r$  and if  $\mathcal{L}'$  and  $\mathcal{B}'$  are laminar families satisfying the properties as in (8) and (9) then, after identifying elements  $v_{i-1}$  and  $v_i$  separated by no set in  $\mathcal{R}'$ , we get  $r''$  and  $\mathcal{R}''$  with smaller  $\omega$  (and satisfying the properties as in (5)-(9)), whence the result follows by induction.

First of all suppose that  $\{v_1\} \notin \mathcal{C}$ . It easily follows from (5) and (6) that  $\mathcal{C}$  contains the set  $X := X_{r-1}$  and  $\mathcal{B}$  contains the set  $Y := Y_2$ . Then  $Y - X = \{v_1\} \notin \mathcal{C}$ , whence  $X' := X \cap Y = \{v_2\} \in \mathcal{C}$  and  $Y' := X \cup Y = W - \{v_r\} \in \mathcal{C}$  (since  $\mathcal{C}$  is cross-closed). Fulfil the uncrossing step  $X, Y \rightarrow X', Y'$ . If  $g(X) \leq g(Y)$  then  $X \notin \mathcal{R}'$ , and, similarly, if  $g(Y) \leq g(X)$  then  $Y \notin \mathcal{R}'$ . Furthermore, sets  $X'$  and  $Y'$  are non-essential. This implies that at least one of the pair  $\{v_2, v_3\}$  and  $\{v_{r-1}, v_r\}$  is separated by no set in  $\mathcal{R}'$ , whence  $r(\mathcal{R}') < r$  and the result follows by induction.

Thus we may assume that  $\{v_1\} \in \mathcal{C}$ . Consider the set  $X_d = \{v_2, \dots, v_d\}$ . Since  $X_d$  is essential,  $d \geq 3$ . Note also that (6) and the minimality of  $d$  imply

$$(10) \quad Y_i = \{v_1, \dots, v_i\} \in \mathcal{B} \text{ for } i = 2, \dots, d-1.$$

Let  $k$  be the maximal index such that  $1 \leq k \leq d$  and  $\{v_k\} \in \mathcal{C}$ .

**Claim.** (i)  $k \geq 2$ . (ii) If  $k < d$  then  $Z := \{v_{k+1}, \dots, v_d\} \notin \mathcal{C}$ .

*Proof.* Observe that any minimal nonempty set in  $\mathcal{C}$  is of cardinality 1 (this follows from (6) and the fact that  $\mathcal{C}$  is cross-closed). Therefore,  $X_d$  contains an element  $v$  such that  $\{v\} \in \mathcal{C}$ , which implies (i). Next, if  $k < d$  and  $Z \in \mathcal{C}$  then there is  $v_j \in Z$  such that  $\{v_j\} \in \mathcal{C}$ . Then  $k < j \leq d$ , contrary to the maximal choice of  $k$ . □

Now consider three possible cases.

*Case 1.*  $k = d$ . Let  $X := X_d$  and  $Y := Y_{d-1}$ ; then  $Y \in \mathcal{B}$ , by (10). Observe that  $X' := X - Y = \{v_d\} \in \mathcal{C}$  and  $Y' := Y - X = \{v_1\} \in \mathcal{C}$ . Fulfil the uncrossing step  $X, Y \rightarrow X', Y'$ . If  $g(X) \geq g(Y)$  then  $Y \notin \mathcal{R}'$  and no set in  $\mathcal{R}'$  separates  $v_{d-1}$  and  $v_d$ , whence  $r(\mathcal{R}') < r$ . And if  $g(X) \leq g(Y)$  then  $X \notin \mathcal{R}'$ , whence  $\mathcal{L}' = \mathcal{C} - \{X, \bar{X}\}$  and  $|\mathcal{L}'| < |\mathcal{C}|$ . In both cases we have  $\omega(r(\mathcal{R}'), \mathcal{R}') < \omega(r, \mathcal{R})$ , and the result follows by induction.

*Case 2.*  $k = 2$ . Let  $X := X_d$  and  $Y := Y_2$ . Then  $X' := X \cap Y = \{v_2\} \in \mathcal{C}$  and  $Y' := X \cup Y = Y_d \in \mathcal{C}$  (by (7)). Fulfil the uncrossing step  $X, Y \rightarrow X', Y'$ .

Similar to the previous case, we get at least one of the following situations: (i) no set in  $\mathcal{R}'$  separates  $v_2$  and  $v_3$ , or (ii)  $\mathcal{L}' = \mathcal{L} - \{X, \bar{X}\}$ ; and the result follows by induction.

*Case 3.*  $2 < k < d$ . Let  $X := X_d$ ,  $Y := Y_k$  and  $Z := \{v_{k+1}, \dots, v_d\}$ . By the Claim,  $Z \notin \mathcal{C}$ . Therefore,  $X' := X \cap Y = X_k \in \mathcal{C}$  and  $Y' := X \cup Y = Y_d \in \mathcal{C}$ . Fulfil the uncrossing step  $X, Y \rightarrow X', Y'$ . Suppose that  $g(X) \leq g(Y)$ . Then  $X \notin \mathcal{R}'$  and  $X' \in \mathcal{R}$ , whence  $|\mathcal{L}'| = |\mathcal{L}|$ . Furthermore, we have  $d(\mathcal{R}') = k < d(\mathcal{R})$ , and the result follows by induction.

Now suppose that  $g(X) > g(Y)$ . Then  $X, X' \in \mathcal{R}'$ , and therefore  $\mathcal{L}' = \mathcal{L} \cup \{X', \bar{X}'\}$ . However, the following useful property holds:

(11)  $v_k$  and  $v_{k+1}$  are separated in  $\mathcal{R}'$  by only  $X_k$  and  $\bar{X}_k$

(since  $Y_k \notin \mathcal{R}'$ ). Consider the sets  $\tilde{X} := X_k$  and  $\tilde{Y} := Y_{k-1}$  in  $\mathcal{R}'$ . Both sets  $\tilde{X}' := \tilde{X} - \tilde{Y} = \{v_k\}$  and  $\tilde{Y}' := \tilde{Y} - X = \{v_1\}$  are in  $\mathcal{C}$ , so we can fulfil the second uncrossing step  $\tilde{X}, \tilde{Y} \rightarrow \tilde{X}', \tilde{Y}'$  for  $\mathcal{R}'$  and  $g'$  forming  $\mathcal{R}''$  and  $g''$ . Two cases are possible.

(i)  $g'(\tilde{X}) \leq g'(\tilde{Y})$ . Then  $\tilde{X} \notin \mathcal{R}''$ , and now, in view of (11), there is no set in  $\mathcal{R}''$  separating  $v_k$  and  $v_{k+1}$ . Thus  $r(\mathcal{R}'') < r$ , whence the result follows by induction.

(ii)  $g'(\tilde{X}) > g'(\tilde{Y})$ . Then  $\tilde{Y} \notin \mathcal{R}''$ , therefore no set in  $\mathcal{R}''$  separates  $v_{k-1}$  and  $v_k$ . We have again  $r(\mathcal{R}'') < r$ , and the result follows by induction.

This completes the proof of Theorem 2.

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