# MINIMUM DISTANCE MAPPINGS OF GRAPHS

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# Minimum distance mappings of graphs \*

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Abstract. Let H=(T,U) be a connected graph, and  $d^H$  its distance function, i.e.,  $d^H(s,t)$  is the minimum length of a path connecting nodes s and t in H. The minimum distance mapping problem is (\*): given a graph G=(V,E) with  $V\supseteq T$ , find a mapping  $\gamma:V\to T$  such that  $\sum (d^H(\gamma(x),\gamma(y)):e=\{x,y\}\in E)$  is as small as possible. For H fixed, this problem is known to be NP-hard if H is complete and  $|T|\ge 3$  (as being the minimum multiway cut problem), while it is polynomially solvable when |T|=2 (the minimum cut problem) or H is the complete bipartite graph with parts of 2 and r nodes (the minimum (2,r)-metric problem).

In this paper we give a complete characterization of the set of graphs H with the property that (\*\*) for any G, problem (\*) and its fractional relaxation have the same minimum objective value. More precisely, we prove that H satisfies (\*\*) if and only if (i) H has no isometric k-circuit with k > 4, (ii) any three nodes are pairwisely connected by three shortest paths sharing a common node, and (iii) the edges of H can be directed so that the non-adjacent edges of each 4-circuit are oppositely directed. Note that if H satisfies (\*\*), then (\*) can be solved in polynomial time. The proof combines combinatorial and topological ideas and reveals, in the key theorem, that H with property (\*\*) can be embedded in a 2-dimensional space S with a special metric on it so that there is a one-to-one correspondance, with preserving the distances, between the finite subsets of S that include T and certain metrics on sets  $V \supseteq T$  that appear in the fractional relaxation of (\*).

Key words: graph, finite metric, distance, surface, isometric embedding.

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# 1. Introduction

By a *metric* on a set V' we mean a nonnegative real-valued function  $m:V'\times V'\to \mathbb{R}_+$  satisfying

- (i) m(x, x) = 0 for  $x \in V'$ ;
- (ii) m(x,y) = m(y,x) for  $x,y \in V'$  (symmetry);
- (iii)  $m(x,y)+m(y,z)\geq m(x,z)$  for  $x,y,z\in V'$  (triangle inequalities).

Because of (i) and (ii) we may also think that m is given on the set  $E_{V'}$  of edges of the complete undirected graph  $K_{V'} = (V', E_{V'})$ . Then the set of metrics on V', denoted by  $\mathcal{M}_{V'}$ , forms a (convex) polyhedral cone in the  $\binom{|V'|}{2}$ -dimensional euclidean space  $\mathbb{R}^{E_{V'}}$  whose coordinates are indexed by edges of  $K_{V'}$ . We use notation m(xy) instead of m(x,y). A special case of metrics is the distance function  $d^{G'}$  of a connected graph G' = (V', E'), i.e.,  $d^{G'}(xy)$  is the minimum length of a path connecting nodes x and y in G'.

Suppose that G=(V,E) is a graph with possibly multiple edges and H=(T,U) is a connected graph with  $T\subseteq V$ . The minimum distance problem is:

(1) find a mapping  $\gamma: V \to T$  such that  $\gamma(v) = v$  for each  $v \in T$  and  $\sum (d^H(\gamma(x)\gamma(y)) : e \in E$ , x and y are the ends of e) is as small as possible;

the minimum in (1) is denoted by  $\tau = \tau(G, H)$ . Another problem we deal with is:

(2) find a metric m on V such that  $m(st) = d^H(st)$  for any  $s, t \in V$  and  $\sum (m(e) : e \in E)$  is as small as possible;

the minimum in (2) is denoted by  $\tau^* = \tau^*(G, H)$ .

A metric m on V whose restriction  $m_{|T|}$  to T is  $d^H$  is called an extension of H to V; the set of extensions is denoted by  $P_{V,H}$ . Sometimes we denote an extension by (V,m). For  $\gamma:V\to T$  identical on T, the metric m defined by  $m(xy)=d^H(\gamma(x)\gamma(y))$ ,  $x,y\in V$ , is called a 0-extension of H to V. Clearly each 0-extension is an extension, therefore,  $\tau^*\leq \tau$ . We think of (2) as the fractional relaxation of (1). Note that (1) is, in fact, equivalent to the problem in which, given graphs H'=(T',U') and G'=(V',E'), a subset  $X\subseteq V'$ , and a mapping  $\gamma':X\to T'$ , it is required to extend  $\gamma'$  to a mapping  $\gamma:V'\to T'$  so that  $\sum (d^{H'}(\gamma(x)\gamma(y)):e=xy\in E')$  is minimum.

**Definition 1.** Following [11], a graph H = (T, U) is called *minimizable* if  $\tau^*(G, H) = \tau(G, H)$  holds for every graph G = (V, E) with  $T \subseteq V$ .

To motivate the above problems and definition, consider some examples.

Example 1. H is the complete graph  $K_p$  with p = |T| nodes. Then (1) turns into the minimum multiway cut problem: given a graph G = (V, E) and subset  $T \subseteq V$ , find a partition  $\Pi$  of V such that each member of  $\Pi$  contains exactly one element of T and the number of edges of G connecting different members of  $\Pi$  is minimum. This problem is known to be NP-hard for any fixed  $p \geq 3$  [3]. On the other hand, its special case with p = 2 is efficiently solvable as being the classic minimum cut problem for which plenty of polynomial algorithms have been designed (see, e.g., [4,5,6]). Moreover,  $\tau = \tau^*$  if p = 2 (see, e.g., [4]), i.e.,  $K_2$  is minimizable. For  $p \geq 3$  the inequality  $\tau^* \leq \tau$  may be strict. E.g., if G is as in Fig. 1,  $T = \{s, t, u\}$  and  $H = K_T$ , then  $\tau = 2$  whereas  $\tau^* = 3/2$ .



Example 2. H is the complete bipartite graph  $K_{p,r}$  with parts of p and r = |T| - p nodes. It is easy to show that H is not minimizable if  $p, r \geq 3$ . On the other hand, H is minimizable if  $p \leq 2$  [8].

(An interesting result due to Lovász [14] and, independently, Cherkassky [2] implies that for  $H = K_p$  problem (2) has a half-integer optimal solution m. A similar property for  $H = K_{p,r}$  follows from a result in [9, Sec. 3].)

Example 3. Given a graph  $\Gamma = (B, W)$ , let H = (T, U) be formed by splitting each edge  $e = xy \in W$  into two edges  $xz_e$  and  $z_ey$  in series and then by adding a node v and edges  $vz_e$  for all  $e \in W$ . From a result in [9, Sec. 3] one can derive that H is minimizable if  $\Gamma$  has no circuit with  $\leq 3$  edges.

Example 4. Let H be the union of two graphs H' = (T', U') and H'' = (T'', U'') with  $T' \cap T''$  consisting of a single node. It is shown that if both H' and H'' are minimizable then H is minimizable too [11]. In particular, this implies that every tree is minimizable (as  $K_2$  is minimizable).

From the computational point of view, for each minimizable H problem (1) is as easy as (2). Indeed, (1) is reduced to comparing  $\tau^*(G, H)$  and  $\tau^*(G', H)$  for a sequence of graphs G', each obtained from G by sticking some nodes in V - T to nodes in T; clearly it suffices to test O(|T||V|) graphs G'. In its turn (2) is a linear program whose constraint matrix M has  $O(|V|^3)$  rows and  $O(|V|^2)$  columns; thus,  $\tau = \tau^*$  and an optimal solution  $\gamma$  to (1) can be found in polynomial time. Note also that if G is a multigraph given via its node set and the integers c(xy) that indicate how many edges connect a pair  $\{x,y\}$  in V, then (2) is solvable in strongly polynomial time by use of Tardos' version [16] of the ellipsoid method (taking into account that the size of writing

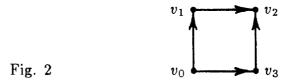
M in binary notation is  $O(|V|^3)$ ; therefore, we get a strongly polynomial algorithm for solving (1).

The aim of this paper is to give a complete characterization of the set of minimizable graphs (Theorem 1 below), thus answering a question raised in [11]. To state this, we need three more definitions.

**Definition 2.** Following [11], H = (T, U) is called 3-closed if for each triple  $s_0, s_1, s_2 \in T$  there is  $v \in T$  such that  $d^H(s_i v) + d^H(v s_j) = d^H(s_i s_j)$  for  $0 \le i < j \le 2$  (i.e., there are shortest paths from  $s_0$  to  $s_1$ , from  $s_1$  to  $s_2$  and from  $s_2$  to  $s_0$  that share a common node).

**Definition 3.** A subgraph H' = (T', U') of H is called *isometric* (in H) if  $d^{H'}$  coincides with  $d^H$  on T'.

**Definition 4.** H is called *orientable* if the edges of H can be directed so that for any 4-circuit  $C = (v_0, e_1, v_1, \ldots, e_4, v_4 = v_0)$ , edges  $e_1$  and  $e_3$  are oppositely directed, and similarly for  $e_2$  and  $e_4$  (e.g., if  $e_i$  is directed from  $v_{i-1}$  to  $v_i$ , say, then  $e_{i+2}$  is directed from  $v_{i+2}$  to  $v_{i+1}$ , see Fig. 2).



For example,  $K_p$  is not 3-closed for  $p \geq 3$ ,  $K_{p,r}$  is 3-closed but non-orientable for  $p,r \geq 3$ , and  $K_{2,r}$  is 3-closed and orientable. Obviously, each 3-closed graph is bipartite. If H is bipartite then each 4-circuit in H is isometric. A k-circuit is a (simple) circuit  $C_k$  with k nodes considered as a graph. A maximal subgraph  $K_{2,r}$  in H is called a 2-clique.

#### **Theorem 1.** The following are equivalent:

- (i) H is minimizable;
- (ii) H is 3-closed, orientable and contains no isometric 2k-circuit with  $k \geq 3$ .

The proof of Theorem 1 is given throughout Sections 2-4. Section 2 exhibits some basic properties of minimizable graphs. In particular, the minimizability admits a simple description in terms of vertices of the dominant polyhedron  $\mathcal{D}_{V,H}$  of  $\mathcal{P}_{V,H}$ . We also describe the vertices of  $\mathcal{D}_{V,H}$  ("H-primitive metrics") in terms of shortest paths, which then enable us to establish some necessary conditions for the minimizability. These results are then used to prove (i) $\Rightarrow$ (ii) in the theorem by showing, in Section 3, that if H violates at least one condition in (ii) then one can construct an H-primitive metric m on some  $V \supseteq T$  so that m is not a 0-extension. The implication (ii) $\Rightarrow$ (i),

more involved, is proved in Section 4 by combining combinatorial and topological ideas. First we embed H as in (ii) in an orientable 2-dimensional space (pseudo-surface) S; it is constructed by sticking up each 4-circuit of H by a disk, which forms a 2-dimensional cell, and then by identifying certain parts of cells related to 2-cliques of H. We assign an  $\ell_1$ -metric within each cell, extend these local metrics to a metric  $\sigma$  on the entire S and show (in key Theorem 4.1) that for every V and every minimal extension m of H to V, the embedding of H can be extended to an isometric embedding in  $(S,\sigma)$  of the metrical space (V,m). Finally, we show that every finite set V on S can be mapped to T preserving the lengths of all shortest (w.r.t.  $\sigma$ ) paths with both ends in T, completing the proof of Theorem 1. In concluding Section 5 we discuss a relationship between (1) and the so-called multiflow locking problem and explain that the minimizability of the graphs H as in (ii) without subgraphs  $K_{2,r}$  with  $r \geq 3$  can be also derived by use of the multiflow locking theorem in [10].

Theorem 1 shows that the set of minimizable graphs is rather large. For example, it contains every planar graph in which all inner faces are quadrangles and each node not in the outer face has degree at least four. A 2-dimensional grid  $\Gamma_{p,r}$  with nodes (i,j) for  $i=0,1,\ldots,p$  and  $j=0,1,\ldots,r$  and edges  $\{(i,j),(i',j')\}$  for |i-i'|+|j-j'|=1 is a special case of the latter graphs. Another consequence of Theorem 1 is that if H and H' are minimizable, then identifying an edge of H with an edge of H' results in a minimizable graph as well.

## 2. Dual description and H-primitive metrics

As before,  $\mathcal{M}_V$  and  $\mathcal{P} = \mathcal{P}_{V,H}$  denote the sets of all metrics on V and all extensions of H to V, respectively. Clearly  $\mathcal{P}$  is a polyhedron in  $\mathbb{R}^{E_V}$ . The dominant polyhedron of  $\mathcal{P}$  is

$$\mathcal{D} = \mathcal{D}_{V,H} := \{x \in \mathbf{R}^{E_V} \ : \ x \geq m \ ext{ some } \ m \in \mathcal{P}\}.$$

Let  $\mathcal{E} = \mathcal{E}_{V,H}$  denote the set of minimal extensions m, i.e., there is no  $m' \in \mathcal{P}$  such that  $m' \neq m$  and  $m' \leq m$ . Then  $\mathcal{E}$  is exactly the intersection of the boundaries of  $\mathcal{P}$  and  $\mathcal{D}$ . Similarly, for a metric  $\mu$  on T we define the set  $\mathcal{P} = \mathcal{P}_{V,\mu}$  of extensions of  $\mu$  to V to be  $\{m \in \mathcal{M}_V : m_{|T} = \mu\}$  and define the dominant polyhedron  $\mathcal{D} = \mathcal{D}_{V,\mu}$  as above.

A metric that is a vertex of  $\mathcal{D}$  is called H-primitive (or  $\mu$ -primitive). It is easy to see that every 0-extension of H is H-primitive. The next statement exhibits a dual description of the set of minimizable graphs. We identify a graph G = (V, E) and its edge multiplicity function  $c: E_V \to \mathbb{Z}_+$ , where for  $x, y \in V$ , c(xy) is the number of edges of G with the ends x and y. Then the objective in (2) is  $\min\{c \cdot m : m \in \mathcal{P}\}$ , denoting by  $a \cdot b$  the inner product  $\sum (a(e)b(e) : e \in Q)$  of vectors  $a, b \in \mathbb{R}^Q$ .

Statement 2.1. H is minimizable if and only if for any  $V \supseteq T$  every H-primitive metric on V is a 0-extension.

Proof. By linear programming arguments, for each  $c: E_V \to \mathbb{Z}_+$  the minimum of  $c \cdot m$  over  $m \in \mathcal{P}$  is achieved by a vertex of  $\mathcal{D}$ ; reversely, for each vertex m of  $\mathcal{D}$  there is  $c: E_V \to \mathbb{Z}_+$  such that  $c \cdot m < c \cdot m'$  for any other vector m' in  $\mathcal{P}$ . This implies the statement.

Note that  $\mathcal{E}$  is characterized by the following property:

(2.1) an extension  $m \in \mathcal{P}$  is minimal if and only if for any  $x, y \in V$  there are  $s, t \in T$  such that  $m(sx) + m(xy) + m(yt) = \mu(st)$ .

Indeed, "if" is obvious, while "only if" follows from the obvious fact that if for some x and y,  $m(sx) + m(xy) + m(yt) > d^H(st)$  for any  $s, t \in T$ , then one can decrease m on some pairs, forming a smaller metric m' on V with  $m'_{|T} = d^H$ .

For  $m, m' \in \mathcal{P} = \mathcal{P}_{V,H}$  (or  $= \mathcal{P}_{V,\mu}$ ) we say that m' H-decomposes (or  $\mu$ -decomposes) m if there is  $m'' \in \mathcal{P}$  and  $0 < \lambda \le 1$  such that  $m \ge \lambda m' + (1 - \lambda)m''$ . When it leads to no confusion, we omit the prefix H or  $\mu$  in terms "H-primitive", " $\mu$ -decomposes", and etc. Clearly m is primitive if and only if no metric in  $\mathcal{P} - \{m\}$  decomposes m.

In the next section, when proving necessary conditions for a graph to be minimizable, we will construct a "bad"  $\mu'$ -primitive metric for a certain submetric  $\mu'$  of  $d^H$  and then use it to show that H is not minimizable. This relies on three simple facts stated in Statements 2.2, 2.4 and 2.5.

Statement 2.2. Let  $\mu$  be a metric on T, and  $\mu'$  its restriction to  $T' \subseteq T$ . Let V' be a set such that  $V' \cap T = T'$ . Let m' be a  $\mu'$ -primitive metric on V'. Then m' can be extended to a  $\mu$ -primitive metric on  $V = V' \cup T$ .

Proof. Define m by m(xy) = m'(xy) for  $x, y \in V'$ ,  $m(xy) = \mu(xy)$  for  $x, y \in T$ , and  $m(xy) = \min\{m(xs) + \mu(sy) : s \in T'\}$  for  $x \in V'$  and  $y \in T - T'$ . One can check that m is a metric. Thus  $m \in \mathcal{P}_{V,\mu}$ . Take a  $\mu$ -primitive  $m_1$  on V that  $\mu$ -decomposes m. Then its restriction  $m'_1$  to V'  $\mu'$ -decomposes m'. The fact that m' is  $\mu'$ -primitive implies  $m' = m'_1$ . Hence,  $m_1$  is as required.

From Statement 2.2 it follows that

(2.2) for  $\mu = d^H, T', V', m'$  as above, if H is minimizable, then there is a mapping  $\gamma : V' \to T$  such that  $\gamma$  is identical on T' and  $m'(xy) = d^H(\gamma(x)\gamma(y))$  for all  $x, y \in V'$ .

This property enables us to immediately eliminate the non-3-closed graphs H.

Statement 2.3 [11]. If H is minimizable then H is 3-closed.

Proof. Consider  $s_0, s_1, s_2 \in T$ . Let  $p_0, p_1, p_2$  satisfy  $p_i + p_j = d^H(s_i s_j)$  for  $0 \le i < j \le 2$ . These numbers are nonnegative (as  $d^H$  is a metric) and defined uniquely. Take  $T' = \{s_0, s_1, s_2\}$  and  $V' = T' \cup \{x\}$ , and let  $m'(s_i x) = p_i$ , i = 0, 1, 2. Obviously, defining m' on T' as  $\mu' = d^H_{|T'}$ , we obtain a  $\mu'$ -primitive metric on V'. Assuming that H is minimizable, let  $\gamma$  be as in (2.2). Then  $v = \gamma(x)$  satisfies  $d^H(s_i s_j) = p_i + p_j = d^H(s_i v) + d^H(v s_j)$  for  $0 \le i < j \le 2$ , as required.

In particular, each minimizable graph is bipartite. This and (2.2) yield the following property (which will be used in Section 3 to prove that a graph is not minimizable if it is non-orientable or contains an isometric 2k-circuit with  $k \geq 3$ ).

Statement 2.4. Let  $\mu'$  be the restriction of  $d^H$  to a subset  $T' \subseteq T$ . Suppose that there is a  $\mu'$ -primitive metric m' on a set V'. If m' is not integral or there are  $x_0, \ldots, x_k \in V'$  such that  $m'(x_0x_1) + \ldots + m'(x_{k-1}x_k) + m'(x_kx_0)$  is an odd integer, then H is not minimizable.

The next statement shows that we can construct primitive metrics recursively.

Statement 2.5. Let m be an H-primitive metric on  $V \supseteq T$ . Let  $\mu'$  be the restriction of m to  $V' \subseteq V$ . Let m' be a  $\mu'$ -primitive metric on  $W \supseteq V'$  (assuming that  $W \cap V = V'$ ). Then there is an H-primitive metric m'' on  $V'' = V \cup W$  that coincide with m' on W.

Proof. This is close to the proof of Statement 2.2. More precisely, define  $\widetilde{m}(xy)$  to be m(xy) for  $x,y\in V$ , m'(xy) for  $x,y\in W$ , and  $\min\{m(xs)+m'(sy):s\in V'\}$  for  $x\in V$  and  $y\in W$ . One easily shows that  $\widetilde{m}$  is a metric. Take an H-primitive  $m_1\in \mathcal{P}_{V'',H}$  that H-decomposes  $\widetilde{m}$ . Then  $m_1|_V=m$  (as m is H-primitive). This implies that  $m_1|_{V'}=\mu'$ , therefore,  $m_1|_W$   $\mu'$ -decomposes m'. Since m' is  $\mu'$ -primitive,  $m_1|_W=m'$ .

Next we discuss a method of showing that certain metrics are primitive. We need some terminology.

A sequence  $P = (x_0, x_1, \ldots, x_k)$  of elements of V is called an  $x_0 - x_k$  path on V; for brevity we use notation  $x_0 x_1 \ldots x_k$  for P. If all  $x_i$ 's are distinct, P is simple. If  $x_0$  and  $x_k$  are distinct elements of T, P is called a T-path. Given  $m \in \mathcal{P}_{V,H}$ , a T-path  $P = x_0 x_1 \ldots x_k$  is called an H-geodesic of m if P is simple and the m-length  $m(P) = m(x_0 x_1) + \ldots + m(x_{k-1} x_k)$  of P equals  $d^H(x_0 x_k)$ . The set of H-geodesics

of m is denoted by  $\mathcal{G}(m) = \mathcal{G}_H(m)$ . When each  $e_i = x_{i-1}x_i$  is an edge of a graph G = (V, E), P is said to be a path of G, and  $e_i$  an edge of P (though G may contain miltiple edges, this will lead to no confusion in what follows). |P| denotes the number k of edges of P.

A metric is called *positive* if it takes non-zero values on all pairs of distinct elements. The following fact is obvious (see, e.g., [12]):

(2.3) if  $m, m' \in \mathcal{P}$  and m is positive, then m' decomposes m if and only if  $\mathcal{G}(m) \subseteq \mathcal{G}(m')$ ; in particular, every positive primitive metric is uniquely determined by its geodesics.

This property has a practical application for constructing primitive metrics; we borrow an idea from [12,1]. Let G = (V, E) be a connected graph such that for some  $\alpha \in \mathbb{R}_+$  the metric  $m = \alpha d^G$  is in  $\mathcal{P}_{V,H}$ . A subgraph G' = (V', E') of G is called H-isometric if

 $(2.4) \ \text{for each} \ x,y \in V' \ \text{there are} \ s,t \in T \ \text{such that} \ m(sx) + \alpha d^{G'}(xy) + m(yt) = d^H(st);$ 

in other words, G has an H-geodesic of m that contains, as a part, a path in G' between x and y. An H-isometric subgraph is, obviously, isometric.

Suppose that H has an H-isometric even circuit  $C = x_0 x_1 \dots x_{2k}$   $(x_{2k} = x_0)$  in G. For G' = C, (2.4) is equivalent to saying that

(2.5) for  $i=0,\ldots,k-1$ , there are  $s_i,t_i\in T$  such that  $m(s_ix_i)+m(x_{i+k}t_i)+\alpha k=d^H(s_it_i)$ .

Let m' decompose m. Then  $\mathcal{G}(m) \subseteq \mathcal{G}(m')$ , therefore, (2.5) implies that

(2.6) for 
$$i = 0, ..., k-1$$
,  $m'(s_i x_i) + m'(x_{i+k} t_i) + \sum_{j=i}^{i+k-1} m'(x_j x_{j+1}) = d^H(s_i t_i)$ .

We may assume that  $s_i = t_{i+k}$  and  $t_i = s_{i+k}$ . Then putting together the 2k inequalities in (2.6), one can derive that

$$(2.7) m'(x_i x_{i+1}) = m'(x_{i+k} x_{i+k+1}), i = 0, \ldots, k.$$

Edges  $e, e' \in E$  are called *H*-dependent if there is a sequence  $e = e_0, e_1, \ldots, e_q = e'$  of edges and a sequence  $C_1, \ldots, C_q$  of *H*-isometric even circuits of *G* such that  $e_{j-1}$  and  $e_j$  are opposite edges of  $C_j$ ,  $j = 1, \ldots, q$ . A maximal set of mutually *H*-dependent edges is called an *orbit*. The following statement is close to a result in [12,1] (see also [13]) on extreme metrics of graphs.

Statement 2.6. If each orbit of G contains an H-geodesic (e.g., an edge with both ends in T) then  $m = \alpha d^G$  is H-primitive.

Proof. Let m' decompose m. From (2.7) it follows that m'(e) is the same number b for all edges of an orbit Q. Since Q contains an H-geodesic P connecting some  $x, y \in T$ , b is fixed to be  $d^H(xy)/|P|$ . Hence, m' is uniquely determined for all edges of G. Now since G is connected, m' is uniquely determined on all pairs in V, i.e., m' = m.

### 3. Non-minimizable configurations.

In this section we prove (i) $\Rightarrow$ (ii) in Theorem 1. We use notation  $A \simeq B$  for isomorphic graphs A and B. First we consider a special graph H. Let  $K_{3,3}^{-1}$  denote the graph obtained from  $K_{3,3}$  by deleting one edge, see Fig. 3. Note that  $K_{3,3}^{-1}$  is non-orientable.

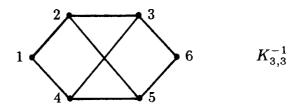


Fig. 3

**Lemma 3.1.** For  $H = K_{3,3}^{-1}$  there is an H primitive extension which is not integral.

Proof. We denote the nodes of this H=(T,U) by  $1,\ldots,6$  as in Fig. 3. Split each edge e=ij of H into two edges  $iz_e$  and  $z_ej$  in series, add two extra nodes x and y, add edges  $xz_e$  for all  $e=ij\in U$  with  $i,j\leq 5$  and add edges  $yz_e$  for all  $e=ij\in U$  with  $i,j\geq 2$ . The resulting graph, denoted by G=(V,E), is shown in Fig. 4.

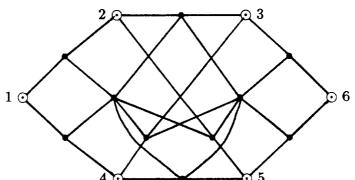


Fig. 4

A routine, though somewhat tiresome, check-up (which can be simplified by using symmetries of G) shows that: (i)  $\frac{1}{2}d^G(ij) = d^H(ij)$  for all  $i, j \in T$ , i.e.,  $m = d^G/2$  is an extension of  $d^H$ ; (ii) each 4-circuit in G is H-isometric; and (iii) all edges of G are H-dependent, i.e., G has an only orbit. By Statement 2.6, m is H-primitive. Also m is not integral.

A special role of the graph  $K_{3,3}^{-1}$  is demonstrated by the following statement.

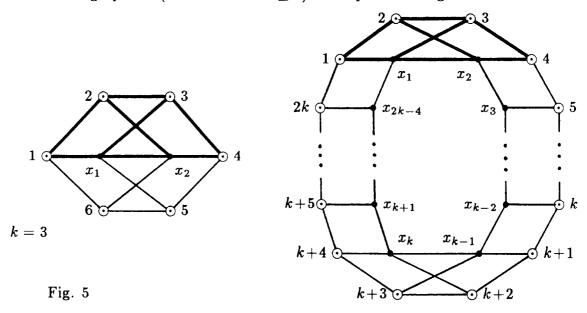
Statement 3.2. Let H'=(T',U') be an isometric subgraph of H. Suppose that there is a graph G=(V,E) with  $T'\subseteq V$  such that (i)  $m=d^G$  is H'-primitive, and (ii) G has an isometric subgraph  $G'=(V',E')\simeq K_{3,3}^{-1}$ . Then H is not minimizable. In particular, H is not minimizable if it contains  $K_{3,3}^{-1}$  as an isometric subgraph.

Proof. By Lemma 3.1, there exists a G'-primitive extension m' to a set  $W \subseteq V'$  such that m' is not integral. Since G' is isometric in G,  $\mu' = d^{G'}$  is a submetric of  $d^G$ . Applying Statement 2.5 to  $H', m, \mu', m'$ , we conclude that there is an H'-primitive metric m'' on  $V \cup W$  that coincides with m' on W. Since H' is isometric in H, m'' is a primitive extension of a submetric of  $d^H$ . Now the fact that m'' is not integral implies that H is not minimizable (by Statement 2.4).

This statement enables us to eliminate graphs with big isometric circuits.

**Lemma 3.3.** Let H contain an isometric 2k-circuit C with  $k \geq 3$ . Then H is not minimizable.

Proof. Let  $T' = \{1, ..., 2k\}$  be the set of nodes of C and 1, ..., 2k follow in this order in C. In view of Statement 3.2, it suffices to show the existence of a graph G = (V, E) with  $T' \subseteq V$  such that  $d^G$  is C-primitive and G contains  $K_{3,3}^{-1}$  as a C-isometric subgraph. The desired graphs G (for k = 3 and  $k \ge 4$ ) are depicted in Fig. 5.



One can check that: (i) in both cases, G contains a C-isometric subgraph  $G' \simeq K_{3,3}^{-1}$  (G' is drawn bold in Fig. 5); (ii) for k = 3, all edges of G are C-dependent; (iii) for  $k \geq 4$ , there are k - 2 orbits  $O_1, \ldots, O_{k-2}$ , each containing an edge of C (namely, for  $i = 2, \ldots, k-2$ ,  $O_i$  is formed by the edges  $\{i + 2, i + 3\}, \{x_i, x_{i+1}\}, \{k + i + 2, k +$ 

3},  $\{x_{k+i-2}, x_{k+i-1}\}$  (letting  $x_{2k-3} = x_1$  and identifying  $\{2k, 2k+1\}$  with  $\{2k, 1\}$ ), and  $O_1$  is the rest). Thus, G is as required.

For further purposes we need two more "non-minimizable configurations". As mentioned in the Introduction,  $H' = K_{p,r}$  is not minimizable for  $p,r \geq 3$ . This is because adding to H' a node x and edges xs for all nodes s in H' results in graph G for which the H'-primitivity of  $d^G$  can be easily shown. Since G is not bipartite, H' is not minimizable (cf. Statement 2.4). Note also that any subgraph  $H' \simeq K_{p,r}$  of a bipartite graph H is isometric in H.

Another example is the graph  $C_6^+$  depicted in Fig. 6. This graph has an isometric 6-circuit C (namely, that follows  $1,2,\ldots,6$ ), so  $C_6^+$  is not minimizable. If we add to  $C_6^+$  one or two edges connecting opposite nodes in C, then the resulting graph contains an isometric subgraph  $K_{3,3}^{-1}$ , while adding the three such edges turns C into  $K_{3,3}$ .

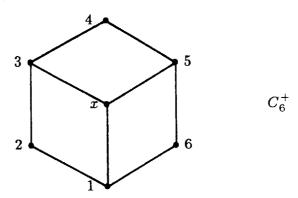


Fig. 6

The above arguments imply the following statement (here we also use the fact that adding to  $K_{3,3}^{-1}$  an edge connecting the nodes at distance three in it makes  $K_{3,3}$ ).

Statement 3.4. If H is bipartite and has a subgraph isomorphic to  $K_{3,3}^{-1}$  or  $C_6^+$ , then H is not minimizable.

Remark 3.5. If H contains an isometric 2k-circuit with  $k \geq 3$  or subgraph  $K_{3,3}^{-1}$ , then problem (2) has "infinite fractionality", in the sense that for any  $q \in \mathbb{Z}_+$  there is an H-primitive metric m with the denominator of some component of m at least q. This follows from the fact that the graph G in the proof of Lemma 3.1 (see Fig. 4) has an isometric  $K_{3,3}^{-1}$ . Therefore, we can recursively "expand" such subgraphs by metrics proportional to  $d^G$ , obtaining H-primitive metrics with increasing denominators. In contrast, as mentioned in the Introduction, the graphs  $K_p$  and  $K_{p,r}$   $(p,r \geq 3)$ , though not minimizable, have the property that the denominators of every primitive extension are at most two.

Next we examine the last bad case in (ii) of Theorem 1. Here we apply slightly

different techniques. By a dual path of H we mean a sequence  $D = (e_0, F_1, e_1, \ldots, F_k, e_k)$ , where  $e_0, \ldots, e_k$  are edges and  $F_1, \ldots, F_k$  4-circuits in H, and  $e_{i-1}, e_i$  are opposite edges in  $F_i$ . When  $e_0 = e_k$ , D is a dual cycle.

Lemma 3.6. Let H be bipartite and non-orientable. Then H is not minimizable.

Proof. Recall that H has no parallel edges. Consider a dual path  $D=(e_0,F_1,e_1,\ldots,F_k,e_k)$  in H. For  $i=1,\ldots,k$ , let  $F_i=x_{i-1}y_{i-1}y_ix_ix_{i-1}$ ,  $e_{i-1}=x_{i-1}y_{i-1}$  and  $e_i=x_iy_i$ , see Fig. 7.

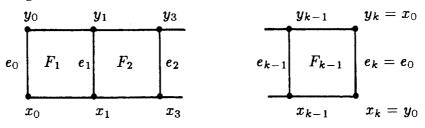
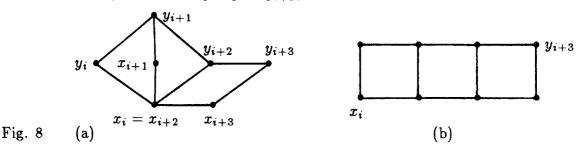


Fig. 7

One can see that the fact that H is non-orientable means the existence of D as above such that  $e_0 = e_k$ ,  $x_k = y_0$  and  $y_k = x_0$ , an orientation reversing dual cycle. We assume that D is chosen so that its length |D| = k is as small as possible. We consider D up to shifting cyclically, take indices modulo k and let  $F_0 = F_k$ .

Note that the minimality of D implies that all  $e_1, \ldots, e_k$  are different (while some  $F_i$  and  $F_j$  may coincide). We observe that

- (3.1) if  $x_i = y_j$  then |i j| is odd, and if  $x_i = x_j$  or  $y_i = y_j$  then |i j| is even;
  - else H is not bipartite. In view of Statement 3.4, we may assume that H contains no subgraph  $K_{3,3}^{-1}$ . We observe that
- (3.2) if  $x_i = x_{i+2}$  for some i, then all elements of  $Z = \{x_i, x_{i+1}, x_{i+3}, y_j, j = i, \dots, i+3\}$  are different, and similarly if  $y_i = y_{i+2}$ ;

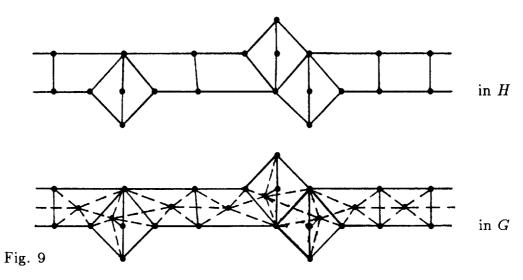


see Fig. 8a. Indeed, one can check that if  $x_i = x_{i+2}$  and some elements of Z coincide, then H has parallel edges or a subgraph  $K_{3,3}^{-1}$  or D is not minimal (the latter case occurs when  $y_{i+1} = y_{i+3}$ ; then the part from  $F_{i+1}$  to  $F_{i+3}$  in D can be replaced by the 4-circuit  $x_i y_i y_{i+3} x_{i+3} x_i$ ). To a similar reason,

(3.3) for  $i = 0, \ldots, k-1, x_i \neq y_{i+3}$  and  $y_i \neq x_{i+3}$ ;

see Fig. 8b. In particular, (3.1) and (3.3) show that k is odd and k > 3. We say that  $F_i$  and  $F_{i+1}$  are squeezed if either  $x_{i-1} = x_{i+1}$  or  $y_{i-1} = y_{i+1}$  (then  $F_i \cup F_{i+1}$  is  $K_{2,3}$ ). By (3.2), in this case  $F_{i-1}$  and  $F_i$  are non-squeezed, and similarly for  $F_{i+1}$  and  $F_{i+2}$ . By (3.1), if  $F_i$  and  $F_{i+1}$  are non-squeezed, then the six nodes  $x_j, y_j, j = i - 1, i, i + 1$ , are different.

Form the graph G' = (V', E') by adding to H nodes  $z_i$  and edges connecting  $z_i$  to  $x_{i-1}, x_i \ y_{i-1}$  and  $y_i$  for i = 1, ..., k (note that  $z_i$  and  $z_j$  are different even if  $F_i = F_j$ ). Next we transform G' into G = (V, E) as follows. For i = 1, ..., k, if  $F_i$  and  $F_{i+1}$  are squeezed, we identify  $z_i$  and  $z_{i+1}$  (and identify multiple edges appeared). And if  $F_i$  and  $F_{i+1}$  are non-squeezed, we add edge  $u_i = z_i z_{i+1}$ . See Fig. 9.



Claim 1.  $d^G$  is an extension of  $d^H$ .

Proof. Suppose that this is not so. Then G has a path  $P = v_0 v_1 \dots v_q$  with  $v_0, v_q \in T$  such that its length |P| = q is less than  $d^H(v_0 v_q)$ . Choose P with |P| minimum under this property. Then the intermediate nodes  $v_1, \dots, v_{q-1}$  of P are, obviously, not in T. Since none of the edges in E - U connects elements of T, |P| > 1. If |P| = 2 then, by the construction of G, there is i for which at least one of the following is true: (i)  $v_1 = z_i$  and both  $v_0, v_2$  are in  $F_i$ , or (ii)  $F_i$  and  $F_{i+1}$  are squeezed,  $v_1 = z_i = z_{i+1}$  and both  $v_0, v_2$  are in  $F_i \cup F_{i+1}$  ( $\simeq K_{2,3}$ ). In both cases,  $d^H(v_0 v_2) \leq 2$ .

Thus  $|P| \geq 3$ . Then the edge  $e = v_1v_2$  is of the form  $z_iz_{i+1}$  for some i; we may assume that  $v_1 = z_i$ . If  $v_0$  is  $x_i$  (or  $y_i$ ), then  $v_0$  and  $v_2 = z_{i+1}$  are connected by an edge in G; therefore, replacing the part  $v_0v_1v_2$  by  $v_0v_2$  makes a shorter  $v_0-v_q$  path, contradicting the minimality of P. If  $v_0$  is  $x_{i-1}$  (case  $v_0 = y_{i-1}$  is similar), then replacing the part  $v_0v_1v_2$  by the path  $v_0x_iv_2$  makes an  $v_0-v_q$  path P' with |P'| = |P|.

Now the part P'' of P' from  $x_i$  to  $v_q$  is a T-path satisfying

$$|P''| = |P'| - 1 < d^H(v_0v_q) - 1 \le d^H(x_iv_q),$$

contrary to the minimality of P. Finally, if  $F_{i-1}$  and  $F_i$  are squeezed,  $x_i = x_{i-2}$  say, and  $v_0$  is in  $F_{i-1}$  but  $F_i$  (i.e.,  $v_0 = y_{i-2}$ ), then  $v_0 x_i v_2$  is again a path in G, and we get a contradiction as above.

Hence,  $d^G \in \mathcal{P}_{V,H}$ . Choose an *H*-primitive metric m' on V that *H*-decomposes  $d^G$ . We now use the property that each geodesic of  $d^G$  is a geodesic of m' too. For i = 1, ..., k, let  $\alpha_i = m'(x_{i-1}z_i)$ ,  $\alpha'_i = m'(x_iz_i)$ ,  $\beta_i = m'(y_{i-1}z_i)$  and  $\beta'_i = m'(y_iz_i)$ .

Claim 2. For  $i = 1, \ldots, k$ ,  $\alpha_i - \beta_i = \alpha_{i+1} - \beta_{i+1}$ .

Proof. Consider two possible cases.

- (i)  $F_i$  and  $F_{i+1}$  are not squeezed. Then  $d^H(x_{i-1}y_{i+1}) = d^H(y_{i-1}x_{i+1}) = 3$  (for if, say,  $x_{i-1}$  and  $y_{i+1}$  are connected by an edge e in H, then adding e to  $F_i \cup F_{i+1}$  forms  $K_{3,3}^{-1}$ ). Hence, both  $P = x_{i-1}z_iz_{i+1}y_{i+1}$  and  $P' = y_{i-1}z_iz_{i+1}x_{i+1}$  are H-geodesics of G. Then m'(P) = m'(P') = 3. This implies  $\alpha_i + \beta'_{i+1} = \beta_i + \alpha'_{i+1}$ . This together with the obvious relations  $\alpha_{i+1} + \beta'_{i+1} = 2$  and  $\beta_{i+1} + \alpha'_{i+1} = 2$  implies  $\alpha_i \beta_i = \alpha_{i+1} \beta_{i+1}$ .
- (ii)  $F_i$  and  $F_{i+1}$  are squeezed,  $x_{i-1} = x_{i+1}$  say. Since the T-paths  $y_{i-1}z_ix_i$ ,  $x_iz_iy_{i+1}$  and  $y_{i-1}z_iy_{i+1}$  are shortest in G, we have  $\beta_i = \alpha_{i+1} = \beta'_{i+1} = 1$ . Also  $x_{i-1}z_iy_i$  is shortest, whence  $\alpha_i + \beta_{i+1} = 2$ . Hence,  $\alpha_i \beta_i = (2 \beta_{i+1}) 1 = 1 \beta_{i+1} = \alpha_{i+1} \beta_{i+1}$ .

Now we finish the proof of the lemma as follows. We derive from Claim 2 that  $\alpha_1 - \beta_1 = \alpha_{k+1} - \beta_{k+1}$ . Since  $x_0 = y_k$  and  $y_0 = x_k$ , we have  $\beta_{k+1} = \alpha_1$  and  $\alpha_{k+1} = \beta_1$ . Thus,  $m'(x_0z_1) = \alpha_1 = \beta_1 = m'(y_0z_1)$ . This implies that if  $\alpha_1$  is an integer, then the m'-length of the circuit  $x_0y_0z_1x_0$  is odd, so H is not minimizable, by Statement 2.4.

This completes the proof of (i) $\Rightarrow$ (ii) in Theorem 1.

#### 4. Embedding in a surface

In this section we show that if H = (T, U) satisfies (ii) in Theorem 1, then H is embeddable in a 2-dimensional space  $S = S^H$  with a special metric on it so that every positive minimal extension (V, m) of H admits a unique isometric embedding in S (Theorem 4.1). Reversely, every finite subset  $V \supseteq T$  of S determines a minimal extension (V, m) of H. We then explain that for such a (V, m) each point in V can

be "shifted" along S into one of the closest points in T so as to preserve the length of every shortest T-path for m (Lemma 4.2). This will prove (ii) $\Rightarrow$ (i) in Theorem 1.

As before, we assume that H is connected and has no multiple edges. Also it will be convenient to assume that H is 2-edge-connected (which does not lead to loss of generality, in view of Example 4 in the Introduction). Then each edge of H belongs to a 4-circuit (as H is bipartite and has no isometric 2k-circuit with  $k \geq 3$ ).

The set S that we are now constructing is defined uniquely by the 2-cliques of H (in particular, by its 4-circuits).

First, we expand each 4-circuit  $C = v_0 v_1 v_2 v_3 v_0$  (considered up to reversing and/or shifting cyclically) to a 2-dimentional disc  $D = D^C$ . Formally, D is homeomorphic to  $[0,1] \times [0,1] \subset \mathbb{R}^2$ , nodes  $v_0, v_1, v_2, v_3$  are identified with points (0,0), (0,1), (1,1), (1,0), respectively, and the edges of C with the corresponding straight line segments in the boundary of D (e.g.,  $v_1v_2$  is identified with  $\{(\xi,1): 0 \leq \xi \leq 1\}$ ). In what follows we do not differ a node (edge) of C from the corresponding point (segment) in  $D^C$ .

Second, if 4-circuits C and C' have a single common node (edge), we identify the corresponding points (segments) in  $D^C$  and  $D^{C'}$ .

Third, suppose that 4-circuits  $C = v_0 v_1 v_2 v_3 v_0$  and  $C' = u_0 u_1 u_2 u_3 u_0$  have two common edges, say,  $v_i = u_i$  for i = 0, 1, 2. Then we identify corresponding halves of  $D^C$  and  $D^{C'}$ . More precisely, if, for definiteness,  $v_0, v_1, v_2$  correspond to (0,0), (0,1), (1,1) in  $D^C$ , and similarly for C', then each point  $(\xi, \eta)$  with  $0 \le \xi \le \eta \le 1$  in  $D^C$  is identified with  $(\xi, \eta)$  in  $D^{C'}$ .

The resulting space is just  $S = S^H$ , called the *H-surface*. For a 4-circuit C of H, we call  $D^C$  the (2-dimensional) cell of S induced by C. [This notion of a cell is somewhat different from what is usually meant by "closed cells" in a cell partition of a topological space (see, e.g., [15]); standard cells will appear if we subdivide each cell  $D^C$  in folders (defined below) into two triangles.]

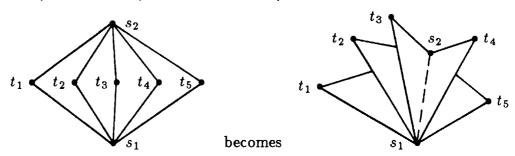


Fig. 10

We observe that if H' is a 2-clique of H with node parts  $\{s_1, s_2\}$  and  $\{t_1, \ldots, t_r\}$ , then by the above rule the region  $F^{H'}$  of S into which H' is expanded is homeomorphic to the space obtained from r copies of the "triangle"  $\{(\xi, \eta) : 0 \le \xi \le \eta \le 1\}$  by sticking them along the "diagonal"  $\{(\alpha, \alpha)\} : 0 \le \alpha \le 1\}$ , see Fig. 10 (for r = 5).

We call  $F^{H'}$  the folder in S induced by H'. Each 4-circuit  $s_1t_is_2t_js_1$  forms a cell in  $F^{H'}$ . Note that no interior point of  $F^{H'}$  belongs to another folder (in particular, a cell not in  $F^{H'}$ ). Otherwise for some  $1 \le i < j \le r$ , there is a path  $P = t_ivt_j$  in H' with  $v \ne s_1, s_2$ ; then  $H' \cup P$  contains a subgraph  $K_{3,3}^{-1}$ , and therefore, H is non-orientable.

Remark 1. One can show that the orientability of H implies that S is an orientable space. Also from the non-existence of "big" isometric circuits and  $K_{3,3}^{-1}$ -subgraphs one can deduce that S is contractable, i.e., there is a continuous mapping  $\psi: S \times [0,1] \to S$  such that  $\psi(x,0) = x$  and  $\psi(x,1) = v$  for all  $x \in S$  and a fixed point  $v \in S$ . In particular, S contains no compact 2-dimentional surface. Using these facts, one can give alternative, sometimes simpler, proofs of some of statements later on. However, we prefer more combinatorial proving methods here, without appealing to the above facts.

Next, we assign an  $\ell_1$ -metric  $\sigma^C$  within each cell  $D^C$  in a natural way. More precisely, the above representation of  $D^C$  establishes cartesian coordinates  $(\xi,\eta)$  in  $D^C$ , and we assign the  $\ell_1$ -distance  $\sigma^C$  between points  $x=(\xi',\eta')$  and  $y=(\xi'',\eta'')$  of  $D^C$ , i.e.,  $\sigma^C(xy)=|\xi'-\xi''|+|\eta'-\eta''|$ . We assume that these local metrics are assigned to be compatible on common edges of cells and common parts of cells in folders. We extend these metrics to the global metric  $\sigma=\sigma^H$  on S, where for  $x,y\in S$ ,  $\sigma(xy)$  is the infinum of values  $\sigma^{C_1}(x_0x_1)+\ldots+\sigma^{C_N}(x_{N-1}x_N)$  among all sequences  $x=x_0,x_1,\ldots,x_N=y$  of points of S such that each pair  $x_{i-1},x_i$  belongs to the same cell, namely,  $D^{C_i}$ . Obviously,  $\sigma$  satisfies the symmetry and triangle inequalities. Also we can see that for each 4-circuit C,  $\sigma$  coincides with  $\sigma^C$  within  $D^C$ .

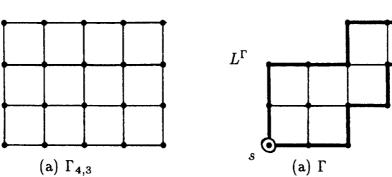


Fig. 11

 $R^{\Gamma}$ 

A simple example of graphs H as in (ii) of Theorem 1 is a grid  $\Gamma_{p,r}$ , or a  $p \times r$ -grid, defined in the Introduction (see Fig. 11a where p=4,r=3). In what follows an important role will play a subgraph  $\Gamma$  of  $\Gamma_{p,r}$  induced by the nodes (i,j) satisfying  $a_j \leq i \leq b_j$  for two sequences  $0 = a_0 \leq a_1 \leq \ldots \leq a_r \leq p$  and  $0 \leq b_0 \leq b_1 \leq \ldots \leq b_r = p$  with  $a_j \leq b_j$ ,  $j=0,\ldots,r$ . We call  $\Gamma$  a net, or an s-t net, with origin s=(0,0) and end t=(p,r), and denote the rightmost (leftmost) path from s to t in  $\Gamma$  by  $R^{\Gamma}$  (resp.  $L^{\Gamma}$ ); see Fig. 11b. A node of H that belongs to  $\Gamma$  and has coordinates  $(\xi,\eta)$  in it is denoted by  $(\xi,\eta)_{\Gamma}$ .

For a u-v path P and nodes  $x,y \in P$ , P(x,y) denotes the part from x to y in P;  $P^{-1}$  denotes the path reverse to P; and  $P \cdot Q$  denotes the concatenation of P and a v-w path Q.

**Theorem 4.1.** Let H satisfy (ii) in Theorem 1, and let (V, m) be a minimal extension of H. Then there exists a mapping  $\omega: V \to S^H$  such that  $\omega(v) = v$  for all  $v \in T$  and  $m(xy) = \sigma^H(\omega(x)\omega(y))$  for all  $x, y \in V$ . Moreover, such a mapping is unique, i.e., (V, m) admits a unique isometric embedding in  $(S^H, \sigma^H)$ .

*Proof.* It falls into several claims. Claims 1-4 refine the structure of shortest paths in H and reveal important facts concerning nets in H; these auxiliary claims will then enable us to locate the elements of V on S and prove the theorem (Claims 5-8). Throughout the proof, d stands for  $d^H$ . We will use many times the fact that H does not contain the "forbidden configurations"  $K_{3,3}^{-1}$  and  $C_6^+$ . It is convenient to state this property in the following form:

(4.1) if H' is a subgraph of H that is the union of two 4-circuits with an only common edge as in Fig. 12, then no node  $\overline{v} \in T$  different from  $\overline{y}$  is adjacent to both  $\overline{x}$  and  $\overline{z}$ .

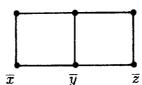


Fig. 12

Indeed, the existence of such a  $\overline{v}$  leads to the existence of  $C_6^+$  (when  $\overline{v}$  is different from all nodes in H') or  $K_{3,3}^{-1}$  (otherwise), taking into account that H is bipartite.

We start this the following basic fact.

Claim 1. For  $s,t \in T$  let P and P' be shortest s-t paths in H. Then there is an s-t net  $\Gamma$  in H with  $R^{\Gamma} = P$  and  $L^{\Gamma} = P'$ .

Proof. Let  $P = x_0 x_1 \dots x_k$  and  $P' = y_0 y_1 \dots y_k$ ; so  $s = x_0 = y_0$ ,  $t = x_k = y_k$  and d(st) = k. We use induction on |P| = k. If  $k \le 2$ , the result is obvious; so assume that  $k \ge 3$ . Also we assume that P and P' have no common inner node, else the result easily follows by induction.

Let  $P_i$  stand for  $P(x_0, x_i)$  and  $P'_i$  stand for  $P'(y_0, y_i)$ . Since H has no isometric 2k-circuit, there are 0 < i, j < k such that both i + j and (k - i) + (k - j) are greater than  $q = d(x_i y_j)$ . Let such i, j be chosen so that i + j is minimum. Also we may assume that  $j \le i$ . Let  $B = z_0 z_1 \dots z_q$  be a shortest path from  $z_0 = y_j$  to  $z_q = x_i$ . By the minimality of i + j, (i) no inner node of B meets  $P_i \cup P'_j$ , and (ii) q = i + j - 2 (since  $d(x_i y_{j-1}) = i + j - 1$  and H is bipartite).

Let  $s'=y_{j-1}$ . By (ii), both  $s'-x_i$  paths  $Q=(P'_{j-1})^{-1}\cdot P_i$  and  $Q'=s'z_0z_1\dots z_q$  are shortest; also |Q'|=q+1< k=|P|. By induction there is an  $s'-x_i$  net  $\Gamma'$  in H with  $R^{\Gamma'}=Q$  and  $L^{\Gamma'}=Q'$ . Since the path  $(P'_j)^{-1}\cdot P_{i-1}$  is shortest (by the minimality of i+j) and contains  $s'=(0,0)_{\Gamma'}$ , we have  $y_j=(0,1)_{\Gamma'}$  and  $x_{i-1}=(q,0)_{\Gamma'}$ . This implies  $s=(a,0)_{\Gamma'}$  for some  $0\leq a\leq q$  and  $x_i=(q,1)_{\Gamma'}$  (as the  $y_j-x_i$  path B in  $\Gamma'$  has length q). Therefore,  $\Gamma'$  is the grid  $\Gamma_{q,1}$ .

Let  $\overline{P}_i$  be the  $s-x_i$  path in  $\Gamma'$  passing through  $(a,0),(a,1),(a+1,1),\ldots,(q,1),$  and  $\overline{P}'_j$  the  $s-y_j$  path passing through  $(a,0),(a,1),(a-1,1),\ldots,(0,1).$  Since  $|\overline{P}_i|=|P_i|$  and  $|\overline{P}'_j|=|P'_j|,$  the s-t paths  $\overline{P}=\overline{P}_i\cdot P(x_i,x_k)$  and  $\overline{P}'=\overline{P}'_j\cdot P'(y_j,y_k)$  are shortest. Also  $s''=(a,1)_{\Gamma'}$  is a common node in these paths. Let  $\widetilde{P}$   $(\widetilde{P}')$  be the part of  $\overline{P}$  (resp.  $\overline{P}'$ ) from s'' to t. Then  $|\widetilde{P}|=|\widetilde{P}'|< k$ , so by induction there is an s''-t net  $\Gamma''$  with  $R^{\Gamma''}=\widetilde{P}$  and  $L^{\Gamma''}=\widetilde{P}'.$  Let  $y_j$  and  $x_i$  have coordinates  $(\alpha,\beta)$  and  $(\gamma,\delta)$  in  $\Gamma''$ , respectively. Note that  $j\leq i$  implies  $x_i\neq s''$  (otherwise i=j=1 and q=0, i.e.,  $x_i=y_j$  is a common node in P and P'). The fact that the above shortest path P contains P implies P and P

In case (ii), the subgraph of  $\Gamma'$  induced by the nodes (p,r) for p=a-1,a,a+1 and r=0,1 together with the path ((0,1),(1,1),(1,0)) in  $\Gamma''$  forms the forbidden configuration as in (4.1). Thus, this case is impossible.

In case (i), we assume that, among all possible net representations for  $\Gamma''$  (when  $\Gamma''$  is not 2-edge-connected), the net is chosen so that the coordinate  $\delta$  is as small as possible. If  $\delta=0$  then the union of  $\Gamma'$  and  $\Gamma''$  is just the desired s-t net  $\Gamma$  with  $R^{\Gamma}=P$  and  $L^{\Gamma}=P'$ . Finally, suppose that  $\delta>0$ . Then  $\Gamma''$  contains nodes u=(h,0), v=(h+1,0), w=(h+1,1) and z=(h,1) such that u,v,w belong to  $R^{\Gamma''}$ . But the two 4-circuits in  $\Gamma'$  that contain v together with the path uzw in  $\Gamma''$  form the forbidden configuration as in (4.1); a contradiction.

Remark 2. In general, the subgraph  $H_{s,t}$  of H that is the union of all shortest s—t paths may have a somewhat more complicated structure than a net; Figure 13 illustrates an instance of  $H_{s,t}$ . One can describe the structure of  $H_{s,t}$  in terms of unions of nets. Also one can show that for any  $0 \le \varepsilon \le d(st)$ , the set of points  $x \in S$  with  $\sigma(sx) = \varepsilon$  and  $\sigma(xt) = d(st) - \varepsilon$  is homeomorphic to a tree. However, we do not use these facts in the sequel.

Remark 3. For a fixed  $v \in T$ , let  $V_i$  be the set of nodes of H at distance i from v. From Claim 1 one can derive that if  $x \in V_i$  is adjacent to distinct  $y, z \in V_{i-1}$ , then there is a unique  $w \in V_{i-2}$  adjacent to both y, z. Using this fact, one can easily arrange a homotopy  $\psi$  mentioned in Remark 1 to show that S is contractable.

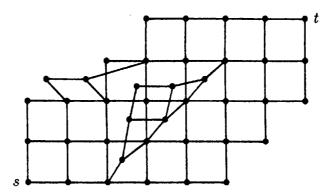


Fig. 13

Claim 2. Every 2-connected net in H is isometric.

Proof. Suppose this is not so for some 2-connected s-t net  $\Gamma$ . Then there are  $x=(p,q)_{\Gamma}$  and  $y=(p',q')_{\Gamma}$  for which  $\Delta:=|p-p'|+|q-q'|>d(xy)$ . In addition, let x,y be chosen so that  $\Delta$  is minimum. Since H is bipartite,  $\Delta>2$ .

From the facts that  $\Gamma$  is 2-connected and  $\Delta > 2$  one can see that there exists an x-y path of length  $\Delta$  in  $\Gamma$ ,  $Q = x_0x_1 \dots x_{\Delta}$  say, such that at least one of  $|p_0 - p_2|$ ,  $|q_0 - q_2|$ ,  $|p_{\Delta} - p_{\Delta-2}|$ ,  $|q_{\Delta} - q_{\Delta-2}|$  equals two, where  $x_i = (p_i, q_i)_{\Gamma}$ . We may assume that  $q_2 = q_0 + 2$ . Let Q' be the part of Q from  $x_1$  to  $x_{\Delta} = y$ , and P' the concatenation of  $x_1x_0$  and a shortest x-y path. Since H is bipartite, the minimal choice of x, y implies that both P' and Q' are shortest  $x_1-y$  paths.

By Claim 1, there is an  $x_1-y$  net  $\Gamma'$  with  $R^{\Gamma'}=P'$  and  $L^{\Gamma'}=Q'$ . Then  $x_0=(1,0)_{\Gamma'}$ ,  $x_1=(0,0)_{\Gamma'}$  and  $x_2=(0,1)_{\Gamma'}$ . Next, by the above assumption,  $p_0=p_1=p_2$  and  $q_0+1=q_1=q_2-1$ . Moreover, since  $\Gamma$  is 2-connected,  $\Gamma$  contains nodes  $u=(\overline{p},q_0)$ ,  $v=(\overline{p},q_1)$  and  $w=(\overline{p},q_2)$ , where  $\overline{p}$  is either  $p_0-1$  or  $p_0+1$ . But the subgraph of  $\Gamma$  induced by  $\{x_0,x_1,x_2,u,v,w\}$  together with the path ((1,0),(1,1),(0,1)) in  $\Gamma'$  forms the forbidden configuration as in (4.1); a contradiction.

This claim is strengthened as follows.

Claim 3. Let  $\overline{\Gamma}$  be a 2-connected  $\overline{s}-\overline{t}$  net and  $\overline{t}=(p,q)_{\overline{\Gamma}}\neq (0,0)_{\overline{\Gamma}}$ . Let  $\overline{s}'=(1,1)_{\overline{\Gamma}}$  and  $\overline{t}'=(\overline{p}-1,\overline{q}-1)_{\overline{\Gamma}}$ . Let  $\overline{x},\overline{y}\in T$  be such that  $d(\overline{xs}')=d(\overline{xs})+2$  and  $d(\overline{y}\overline{t}')=d(\overline{y}\overline{t})+2$ . Then  $d(\overline{xy})=d(\overline{xs})+d(\overline{y}\overline{t})+\overline{p}+\overline{q}$ .

Proof. Choose a shortest  $\overline{x}-\overline{s}$  path  $Q_1$  and a shortest  $\overline{t}-\overline{y}$  path  $Q_2$  in H. We have to show that  $\overline{P}=Q_1\cdot \widetilde{Q}\cdot Q_2$  is shortest, where  $\widetilde{Q}$  is an  $\overline{s}-\overline{t}$  path of length  $\overline{p}+\overline{q}$  in  $\overline{\Gamma}$ . Suppose this is false and choose a part  $P'=x_0x_1\dots x_k$  of  $\overline{P}$  with |P'|=k minimum provided that  $|P'|>d(x_0x_k)$ . In addition, we assume that |P'| is minimum among all possible  $\overline{P}$  (when  $\widetilde{Q}$  varies). Let  $P''=x_ix_{i+1}\dots x_j$  be the common part of P' and the corresponding  $\widetilde{Q}$ . In view of Claim 2, P' is not entirely in  $\overline{\Gamma}$ ; so we may assume that 0< i< k and  $x_i=\overline{s}$  (whereas P' may not contain  $\overline{t}$ ). W.l.o.g. we may assume that P''

is the *leftmost* shortest path between  $x_i = \overline{s}$  and  $x_j$  in  $\overline{\Gamma}$ ; in particular,  $x_{i+1} = (0,1)_{\overline{\Gamma}}$ .

We consider the path  $P=x_1x_2\ldots x_k$  and the concatenation B of  $x_1x_0$  and a shortest  $x_0-x_k$  path. By the minimality of P', both P and B are shortest and openly disjoint (moreover, obviously, no inner node of B belongs to  $\overline{\Gamma}$ ). By Claim 1, there is an  $x_1-x_k$  net  $\Gamma$  with  $L^{\Gamma}=B$  and  $R^{\Gamma}=P$ . For  $f=0,\ldots,j$  let  $x_f$  have coordinates  $(\xi_f,\eta_f)$  in  $\Gamma$  and  $(p_f,q_f)$  in  $\overline{\Gamma}$  (when  $f\geq i$ ). Then  $(\xi_1,\eta_1)=(0,0)$  and  $(\xi_0,\eta_0)=(0,1)$ ; also both  $\xi_j,\eta_j$  are nonzero. The fact that  $d(\overline{xs'})=d(\overline{xs})+2$  implies that the path  $Q=x_0x_1\ldots x_ix_{i+1}\overline{s'}$  is shortest; in particular,  $\xi_{i'}=i'-1$  and  $\eta_{i'}=0$  for  $i'=1,\ldots,i+1$  (as  $x_0=(0,1)_{\Gamma}$  and  $x_1=(0,0)_{\Gamma}$ ). Let  $\alpha$  be the maximum index for which  $\eta_{\alpha}=0$ ; then  $i<\alpha< j$ .

We observe that  $p_{\beta}=0$  for  $\beta=i,\ldots,\alpha$ . For if  $p_{\beta}=1$  for some  $\beta\leq\alpha$ , and  $\beta$  is minimum under this property, then  $\beta>i+1$  (as  $p_{i+1}=0$ ) and  $x_{\beta}=(0,\beta-1)_{\Gamma}=(1,\beta-i-1)_{\overline{\Gamma}}$ . Therefore,  $\Gamma$  contains  $(\xi,\eta)$  for  $\xi=\beta-3,\beta-2,\beta-1$  and  $\eta=0,1$ , and the subgraph of  $\Gamma$  induced by these nodes together with the path  $((0,\beta-i-2),(1,\beta-i-2),(1,\beta-i-1))$  in  $\overline{\Gamma}$  contradicts (4.1). To a similar reason,  $p_{\alpha+1}=1$ . For if  $p_{\alpha+1}=0$ , then the subgraph of  $\overline{\Gamma}$  induced by the nodes (p,q) for p=0,1 and  $q=\alpha-i-1,\alpha-i,\alpha-i+1$  and the path  $((\alpha-2,0),(\alpha-2,1),(\alpha-1,1))$  in  $\Gamma$  contradict (4.1) (the above nodes (p,q) exist as  $\overline{\Gamma}$  is 2-connected).

Finally, the node  $x_{\alpha+1}$  cannot coincide with  $\overline{s}'$  (as the above path Q is shortest, while the distance in  $\Gamma$  between  $x_0$  and  $x_{\alpha+1}$  is  $\alpha-1$ ). Therefore,  $\alpha \geq i+2$ . Let  $y=(\alpha-3,1)_{\Gamma}$  and  $y'=(\alpha-2,1)_{\Gamma}$ . Let  $z=(1,\alpha-i-2)_{\overline{\Gamma}}$  and  $z'=(1,\alpha-i-1)_{\overline{\Gamma}}$ . Note that y is different from z (otherwise the path  $x_0x_1\ldots x_{\alpha-2}z$  is not shortest and its length is less than |P'|, contrary to the choice of P'). Similarly,  $y'\neq z'$ . Therefore, the nodes  $y',y,x_{\alpha-2},z,z',x_{\alpha+1}$  are different (taking into account that H is bipartite, the nodes in  $\Gamma$  are different, and similarly for  $\overline{\Gamma}$ ). Moreover, these nodes form a 6-circuit in H (in the above order). But  $x_{\alpha-1}$  is adjacent to each of  $y',x_{\alpha-2},z'$ . Hence, H contains  $C_6^+$ ; a contradiction.

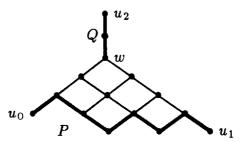


Fig. 14

Claim 4. Let  $u_0, u_1, u_2 \in T$ , and let P be a shortest  $u_0 - u_1$  path in H. Then there are a  $u_0 - u_1$  net  $\Gamma$  and a path Q from  $u_2$  to some node  $w \in P$  such that: (i)  $R^{\Gamma} = P$ ; (ii)  $w = (0, r)_{\Gamma}$ , assuming that  $u_1 = (p, r)_{\Gamma}$ ; (iii) no inner node of Q belongs to  $\Gamma$ ; and (iv)  $\Gamma \cup Q$  is isometric.

(See Fig. 14)

Proof. Choose  $w \in T$  and  $u_i$ —w paths  $B_i$ , i = 0, 1, 2, such that each of  $Q_1 = B_0 \cdot B_2^{-1}$ ,  $Q_2 = B_0 \cdot B_1^{-1}$  and  $Q_0 = B_1 \cdot B_2^{-1}$  (they exist as H is 3-closed). In addition, we assume that the  $B_i$ 's are chosen so that the number of common edges in P and  $Q_2$  is as large as possible. The desired Q is just  $B_2$ . If P and  $Q_2$  coincide, the desired net  $\Gamma$  is P (assuming that the sequence of nodes of P is  $(0,0),(0,1),\ldots,(0,r),(1,r),\ldots,(p,r)$ , where  $r = |B_0|$  and  $p = |B_1|$ ).

Suppose that P and  $Q_2$  are different. The choice of  $B_i$ 's implies that there are nodes  $x \in B_0$  and  $y \in B_1$  different from w and such that the parts of P and  $Q_2$  from  $u_0$  to x, as well as from y to  $u_1$ , coincide, while their parts from x to y are openly disjoint. The paths P' = P(x,y) and  $Q' = Q_2(x,y)$  are shortest; therefore, by Claim 1, there is an x-y net  $\Gamma'$  with  $R^{\Gamma'} = P'$  and  $L^{\Gamma'} = Q'$ . Moreover, if  $y = (p',r')_{\Gamma'}$  then  $w = (0,r')_{\Gamma'}$  (for if  $w = (\alpha,\beta)_{\Gamma'}$  and  $\alpha > 0$  say, then the shortest  $u_0 - w$  path that follows  $B_0$  from  $u_0$  to x, then follows  $R^{\Gamma'}$  from x to a node  $(\alpha,\beta')$  and then passes  $(\alpha,\beta'+1),\ldots,(\alpha,\beta)$  in  $\Gamma'$  has more common edges with P than  $B_0$  does). It is easy to see that adding to  $\Gamma'$  the paths  $P(u_0,x)$  and  $P(y,u_1)$  forms a net  $\Gamma$  as required.

Next we consider a minimal extension (V, m) of H and fix an element  $x \in V$ . By (2.1), for each  $s \in T$  there exists  $t \in T$  such that m(sx) + m(xt) = d(st). Claims 3 and 4 enable us to prove the following important property.

Claim 5. At least one of the following is true:

- (i)  $m(v_0x) = 0$  for some node  $v_0$  of H;
- (ii)  $m(v_0x) + m(xv_1) = 1$  for some edge  $v_0v_1$  of H;
- (iii)  $m(v_0x) + m(xv_2) = 2$  for some 4-circuit  $C = v_0v_1v_2v_3v_0$  of H.

Proof. Assume that (i) is not true and choose  $s,t\in T$  such that d(st) is minimum provided that m(sx)+m(xt)=d(st). Then  $d(st)\geq 1$ . Let  $P=z_0z_1\ldots z_k$  be a shortest s-t path in H. Choose  $v\in T$  such that  $m(z_1x)+m(xv)=d(z_1v)$ . Consider  $\Gamma,p,r$  and Q as in Claim 4 for  $u_0=s,\ u_1=t,\ u_2=v$  and P. Let  $L^\Gamma=y_0y_1\ldots y_k$ ; then  $w=y_r$  and d(st)=k=p+r.

Suppose that r=0 (i.e., w=s). Since  $\Gamma \cup Q$  is isometric, the path  $B=Q \cdot P$  is shortest. Therefore,  $m(vx)+m(xt) \geq |Q|+|P|$ . This together with m(sx)+m(xt)=|P| and  $m(vx)+m(xz_1)=|Q|+1$  (as  $z_1$  is in B and  $d(sz_1)=1$ ) yields  $m(sx)+m(xz_1)\leq 1$ . This implies (ii).

Next suppose that  $r \geq 1$  and  $z_1 = y_1$ . Let  $P' = y_0 y_1 \dots y_r$  and  $D = P' \cdot Q^{-1}$ . Since  $\Gamma \cup Q$  is isometric, D is shortest. Therefore,  $m(sx) + m(xv) \geq |P'| + |Q|$ . Also  $m(z_1x) + m(xv) = |P'| + |Q| - 1$  (as  $z_1$  is in D) and m(sx) + m(xt) = |P|. These

relations imply  $m(z_1x) + m(xt) \leq |P| - 1 = d(z_1t)$ . Since P is shortest, the latter inequality holds with equality, contradicting the minimal choice of s, t.

Now suppose that  $r \geq 1$  but  $z_1 \neq y_1$ . Then  $z_1 = (1,0)_{\Gamma}$  (whereas  $y_1 = (0,1)_{\Gamma}$ ). W.l.o.g. we may assume that for  $i=1,\ldots,r+1$ ,  $z_i=(1,i-1)_{\Gamma}$ . Then  $z_j=y_j=(j-r,r)_{\Gamma}$  for  $j=r+1,\ldots,k$ , i.e.,  $\Gamma$  is the union of a  $1\times r$  grid  $\Gamma^1$  and the path  $z_{r+1}z_{r+2}\ldots z_k$ . Choose  $v'\in T$  such that  $m(z_2x)+m(xv')=d(z_2v')$  and consider  $\Gamma',p',r'$  and Q' as in Claim 4 for  $u_0=s,u_1=t,u_2=v'$  and P. Let  $L^{\Gamma'}=x_0x_1\ldots x_k$ . If r'=0, the argument as above (for r=0) shows that  $m(sx)+m(xz_2)=2$ , yielding (iii). Let  $r'\geq 1$ . We may assume that  $\Gamma'$  is chosen so that the number of common edges in  $P=R^{\Gamma'}$  and  $L^{\Gamma'}$  is as large as possible. Then  $z_i=x_i$  for i=2 or i=r' would imply  $P=L^{\Gamma'}$ , in which case, arguing as above (for  $z_1$  and  $\Gamma$ ), we easily obtain that either  $m(sx)+m(xz_2)=2$  (when r'=1) or  $m(z_2x)+m(xt)=d(z_2t)$  (when  $r'\geq 2$ ).

Thus, it remains to consider the situation when  $r \geq 1$ ,  $z_2 \neq x_2$  and  $z_{r'} \neq x_{r'}$ . Three cases are possible.

Case 1. r'=1. Then  $z_2=(2,0)_{\Gamma'}$  (since  $z_2=(1,1)_{\Gamma'}$  would imply  $x_1=(0,1)_{\Gamma'}=z_1$  as  $|P\cap L^{\Gamma'}|$  is maximum). Hence,  $\Gamma'$  contains the nodes  $(\xi,\eta)$  for  $\xi=0,1,2$  and  $\eta=0,1$ , and their induced subgraph together with the  $s-z_2$  path ((0,0),(0,1),(1,1)) in  $\Gamma$  contradicts (4.1).

Case 2.  $r' \geq 2$  and  $z_1 \neq x_1$ . Then  $z_2 = (2,0)_{\Gamma'}$ , and we get a contradiction in a similar way as in Case 1.

Case 3.  $r' \geq 2$  and  $z_1 = x_1$ . Then  $z_1 = (0,1)_{\Gamma'}$  and  $z_2 = (1,1)_{\Gamma'}$ . Let i be the maximal index for which  $z_i = (i-1,1)_{\Gamma'}$ ; then  $2 \leq i \leq k-1$  since  $z_k = (p',r')_{\Gamma'}$  and  $r' \geq 2$ . If  $i \leq r$  (where r was defined above for  $\Gamma$ ), then  $\Gamma$  contains the nodes  $(\alpha,\beta)$  for  $\alpha = 0, 1$  and  $\beta = i-2, i-1, i$ , and their induced subgraph together with the  $z_{i-1}-z_{i+1}$  path ((1,i-2),(2,i-2),(2,i-1)) in  $\Gamma'$  contradicts (4.1).

Hence,  $i \geq r+1$ , and we may assume, w.l.o.g., that P passes through the nodes  $(r,1),(r,2),\ldots,(r,r')$  of  $\Gamma'$ , i.e.,  $\Gamma'$  is the union of an  $r\times(r'-1)$  grid  $\Gamma^2$  and the paths  $z_0z_1$  and  $z_{r+r'}z_{r+r'+1}\ldots z_k$ . We observe that the union  $\widetilde{\Gamma}$  of  $\Gamma^1$  and  $\Gamma^2$  is again a grid (of size  $r\times r'$ ). Indeed, suppose that a node  $(0,\eta)$  of  $\Gamma$  coincides with a node  $(\alpha,\beta)$  of  $\Gamma'$ , where  $0\leq \eta\leq r,\ 0\leq \alpha\leq r$  and  $2\leq \beta\leq r'$ . Then the nodes  $(\alpha,\beta)$  and  $(\eta,1)$  of  $\Gamma'$  are connected by an edge (corresponding to the edge in  $\Gamma$  between  $(0,\eta)$  and  $(1,\eta)$ ). This is possible only if  $\alpha=\eta$  and  $\beta=2$  (otherwise  $\Gamma'$  is not isometric). But  $\alpha+\beta>\eta+0$  implies that  $\Gamma\cup\Gamma'$  has an s-t path shorter than k; a contradiction.

Finally, we assign the origin and end of  $\widetilde{\Gamma}$  at w and  $w'=(0,r')_{\Gamma'}$ , respectively. Let  $\overline{x}=(1,1)_{\widetilde{\Gamma}}$  and  $\overline{y}=(r-1,r'-1)_{\widetilde{\Gamma}}$ , i.e.,  $\overline{x}=(1,r-1)_{\Gamma}$  and  $\overline{y}=(1,r'-1)_{\Gamma'}$ . Then  $d(v\overline{x})=d(vw)+2$  and  $d(v'\overline{y})=d(v'w')+2$ ; therefore, by Claim 3,

$$d(vv') = |Q| + |Q'| + r + r'.$$

Recall that

$$m(sx) + m(xt) = k,$$

$$m(z_1x) + m(xv) = |Q| + r + 1,$$

$$m(z_2x) + m(xv') = |Q'| + r',$$

We can combine the distances in the left hand sides of these equalities in another way. More precisely, observe that

$$egin{align} m(sx) + m(xz_2) &\geq 2, \ m(z_1x) + m(xt) &\geq k-1, \ m(vx) + m(xv') &\geq |Q| + |Q'| + r + r', \ \end{pmatrix}$$

(in view of (4.2) and the fact that  $\Gamma$  is isometric). Now comparing (4.3) and (4.4) we conclude that the inequalities in (4.4) turn into equalities. Hence,  $m(sx) + m(xz_2) = 2$ , yielding (iii).

We call x a 0-point (1-point; 2-point) if it satisfies (i) (resp. (ii) but (i); (iii) and neither (i) nor (ii)) in Claim 5. If x is a 0-point or 1-point, then the point  $\omega(x)$  of S where x should be located is defined in a natural way. Namely, if m(vx) = 0 for some  $v \in T$ , we assign  $\omega(x) = v$ , and if m(ux) + m(xv) = 1 for some  $e = uv \in U$ , we assign  $\omega(x)$  to be the point x' on e such that  $\sigma(ux') = m(ux)$  (and  $\sigma(vx') = m(vx)$ ). Note that the choice of v (resp. uv) is unique for a 0-point (resp. 1-point) x; e.g., if m(u'x) + m(xv') = 1 for another edge u'v', then assuming, w.l.o.g., that  $d(uu') \geq 2$ , we have  $m(vx) + m(v'x) = 2 - m(ux) - m(u'x) \leq 0$ , whence m(vx) = 0. Furthermore, it is easy to see that there is no  $x'' \in S$  different from  $\omega(x)$  for which  $\sigma(vx'') = 0$  (resp.  $\sigma(ux'') = m(ux)$  and  $\sigma(vx'') = m(vx)$ ).

The next claim points out a cell where x should be located when x is a 2-point. For  $p, q \in T$  let V(p, q) denote the set of nodes of H neighbouring both p and q.

Claim 6. Let x be a 2-point, and let p,q be opposite nodes of a 4-circuit such that m(px) + m(xq) = 2. Let  $z \in V(p,q)$ . Then there is  $v \in V(p,q) - \{z\}$  such that m(zx) + m(xv) = 2.

Proof. Choose  $u' \in T$  such that m(zx) + m(xu') = d(zu') =: k. Since H is bipartite, d(pu') is k-1 or k+1. If d(pu') = k+1, then  $m(px)+m(xu') \geq k+1$ , m(zx)+m(xu') = k and m(px)+m(xq) = 2 imply  $1 \geq m(zx)+m(xq)$ , which is impossible as x is a 2-point. Hence, d(pu') = k-1. Similarly, d(qu') = k-1. Applying Claim 1 to two shortest z-u' paths, one passing through p and the other through q, we observe that there is  $v \in V(p,q)$  with d(vu') = k-2 (v is the node (1,1) of the corresponding z-u' net).

Next, choose  $v' \in T$  such that  $m(vx) + m(xv') = d(vv') =: \ell$ . Arguing as above, we get  $d(pv') = d(qv') = \ell - 1$ , and there is  $u \in V(p,q)$  for which  $d(uv') = \ell - 2$ . In particular,  $u \neq v$  (while, possibly, u = z). Clearly  $d(uu') \in \{k, k - 2\}$ . We assert that d(uu') = k. Indeed, suppose that d(uu') = k - 2; then  $u \neq z$ . Applying Claim 1 to two shortest p - u' paths, one passing through v and the other through u, we obtain that there is  $w \in T$  neighbouring both u, v and different from p, q. One can see that the subgraph induced by  $\{p, q, z, v, u, w\}$  contains  $K_{3,3}^{-1}$ ; a contradiction. Thus,

(4.5) 
$$d(uu') = d(vu') + 2$$
 and  $d(vv') = d(uv') + 2$ .

The 4-circuit  $\Gamma$  on u, p, v, q can be considered as a 2-connected u-v net. So (4.5) enables us to apply Claim 3 and conclude that  $d(u'v') = k + \ell - 2$ . Hence,

$$m(u'x)+m(xv')\geq k+\ell-2.$$

This together with m(zx) + m(xu') = k and  $m(vx) + m(xv') = \ell$  yields  $m(zx) + m(xv) \le 2$ , whence the result follows.

By Claim 6, if x is a 2-point, then there is a 4-circuit  $C = v_0v_1v_2v_3v_0$  such that  $m(v_ix) + m(xv_{i+2}) = 2$  for i = 0, 1. We say that C surrounds x. Define  $\omega(x)$  to be the point  $(\xi, \eta)$  in  $D^C$  with  $\xi + \eta = m(v_0x)$  and  $\xi + 1 - \eta = m(v_1x)$ . We have to show that such a location of x on S is well-defined.

Claim 7. (i) If  $C' = u_0 u_1 u_2 u_3 u_0$  is another 4-circuit surrounding x, and  $\omega'(x)$  is defined as above with respect to C', then C and C' belong to the same 2-clique, and  $\omega'(x) = \omega(x)$ . (ii)  $x' = \omega(x)$  is the only point on S for which  $\sigma(v_i x') = m(v_i x)$ ,  $i = 0, \ldots, 3$ .

*Proof.* Denote  $m(u_ix)$  by  $\alpha_i$  and  $m(v_ix)$  by  $\beta_i$ . Let  $\{T', T''\}$  be the partition of T into stable sets of H.

First, suppose that C and C' are disjoint. One may assume that  $u_0, v_0 \in T'$ . Then  $u_2, v_2 \in T'$  and  $u_1, u_3, v_1, v_3 \in T''$ . This implies that for  $i = 0, \ldots, 3$ ,  $\alpha_i + \beta_i \geq d(u_i v_i) \geq 2$ ; moreover, equality must hold throughout since the sum of all  $\alpha_i$ 's and  $\beta_j$ 's equals eight. Similarly,  $\alpha_i + \beta_{i+2} = 2$  (taking indices modulo 4). This gives  $\alpha_i = \beta_i = 1$ ,  $i = 0, \ldots, 3$  (in view of  $\beta_i + \beta_{i+2} = 2$ ). Therefore,  $d(u_i v_j) \leq \alpha_i + \beta_j = 2$  for all i, j, which implies that H contains a subgraph homeomorphic to  $K_{4,4}$ ; a contradiction.

Second, suppose that C and C' have an only common node,  $u_0 = v_0 \in T'$  say. We may assume that  $d(u_2v_1) = d(u_1v_2) = 3$ ; for if, say,  $d(u_2v_1) = d(u_2v_3) = 1$ , then H contains  $K_{3,3}^{-1}$ . Therefore,  $\alpha_2 + \beta_1 \geq 3$  and  $\alpha_1 + \beta_2 \geq 3$ . Also  $\alpha_0 + \beta_0 \geq 0$  and  $\alpha_3 + \beta_3 \geq 2$ . So we have equality throughout. But  $\beta_0 = 0$  means that x is a 0-point; a contradiction.

Third, suppose that C and C' have exactly two common edge and these nodes are adjacent,  $u_0 = v_0$  and  $u_1 = v_1$  say. Since  $u_2$  and  $v_3$  are not adjacent (otherwise H would contain  $K_{3,3}^{-1}$ ), we have  $d(u_2v_3) = 3$ . Similarly,  $d(u_3v_2) = 3$ , Therefore,  $\alpha_2 + \beta_3 \geq 3$ ,  $\alpha_3 + \beta_2 \geq 3$ ,  $\alpha_0 + \alpha_1 \geq 1$  and  $\beta_0 + \beta_1 \geq 1$ . This implies  $\beta_0 + \beta_1 = 1$ , i.e., x is a 1-point; a contradiction.

Thus, C and C' have a pair of opposite nodes in common,  $u_0 = v_0$  and  $u_2 = v_2$ , say. If the other nodes are different, then  $\alpha_1 + \alpha_3 = \beta_1 + \beta_3 = 2$  and  $\alpha_i + \beta_j \geq 2$  for i, j = 1, 3 imply  $\alpha_q = \beta_q = 1$  for q = 1, 3. And if  $u_1 = v_1$  say, then we get  $\alpha_3 = \beta_3 \geq 1$ . In both cases,  $\omega(x)$  and  $\omega'(x)$  are the same point in the folder involving  $D^C$  and  $D^{C'}$ .

To see (ii), recall that  $\sigma^C$  coincides with  $\sigma_{|D^C}$  and note that there is an only point  $x' \in D^C$  with  $\sigma^C(v_ix') = \beta_i$ ,  $i = 0, \ldots, 3$ . On the other hand, from the construction of S and  $\sigma$  one can see that, for a point  $x'' \in S$  not in  $D^C$ , at least one of  $\sigma(v_0x'') + \sigma(x''v_2)$  and  $\sigma(v_1x'') + \sigma(x''v_3)$  is greater than two.

For a 2-point x let H(x) denote the subgraph of H that is the union of all 4-circuits surrounding x, and let  $\mathcal{V}(x)$  denote the node set of H(x). From Claims 6 and 7 it follows that H(x) is a 2-clique (so  $\omega(x)$  is an interior point of the folder  $F^{H(x)}$ ). If x is a 0-point (1-point), we define  $\mathcal{V}(x)$  to be  $\{\omega(x)\}$  (resp. the pair of ends of the edge that contains  $\omega(x)$ ). We know that  $\sigma$  coincides with  $\sigma^{H'}$  within each folder  $F^{H'}$ . An easy consequence of Claim 6 is that

(4.6) if x is a 2-point and  $\{T_1, T_2\}$  is the partition of  $\mathcal{V}(x)$  into stable sets, then  $\sigma(v\omega(x)) = m(vx)$  for each  $v \in \mathcal{V}(x)$ , and for i = 1, 2, there is  $0 < \varepsilon \le 1/2$  such that  $m(ux) = \varepsilon$  for some  $u \in T_i$  and  $m(vx) = 2 - \varepsilon$  for all other  $v \in T_i$ .

Note also that  $\sigma(v\omega(x))=m(vx)$  holds for each 0- or 1-point x and  $v\in\mathcal{V}(x)$ . Our final claim is as follows.

Claim 8. Let 
$$x, y \in V$$
,  $x' = \omega(x)$  and  $y' = \omega(y)$ . Then  $\sigma(x'y') = m(xy)$ .

**Proof.** First we prove that  $m(xy) \ge \sigma(x'y')$ . This is obvious if both x, y are 0-points. We consider the case when both x, y are 2-points; if some of x, y (or both) is a 0- or 1-point, the proof is based on similar ideas but is technically simpler, and we leave it to the reader.

Choose  $s,t \in T$  such that m(sx) + m(xy) + m(yt) = d(st). We show that

(4.7) there is a 4-circuit C = upvqu in H(x) surrounding x and such that m(sx) = d(su) + m(ux) and m(tx) = d(tv) + m(vx), i.e., the path suxvt on V is shortest for m.

To see this, choose a 4-circuit  $B=u_0u_1u_2u_3u_0$  surrounding x and assume that  $\ell:=d(su_2)=\max\{d(su_i):i=0,\ldots,3\}$ . Then  $d(su_i)=\ell-1$  for i=1,3 and  $d(su_0)\in\{\ell-2,\ell\}$ . W.l.o.g. we may assume that  $d(su_0)=\ell-2$ . Indeed, if  $d(su_0)=\ell$  then there exists  $z\in V(u_1,u_3)$  with  $d(sz)=\ell-2$  (by Claim 1 applied to two corresponding  $s-u_2$  paths), and at least one of the 4-circuits  $zu_1u_2u_3z$  and  $zu_1u_0u_3z$  surrounds x (by (4.6)); so we can replace B by this circuit. The equalities  $d(su_0)=\ell-2$ ,  $d(su_2)=\ell$  and  $m(u_0x)+m(xu_2)=2$  imply that the path  $su_0xu_2$  on V is shortest, whence

$$(4.8) m(sx) = d(su_0) + m(u_0x).$$

Similarly, there exists a 4-circuit  $B' = v_0 v_1 v_2 v_3 v_0$  that surrounds x and satisfies

$$(4.9) m(tx) = d(sv_0) + m(v_0x).$$

Since m(sx)+m(xt)=d(st), (4.8) and (4.9) show that the path  $su_0xv_0t$  is shortest; therefore,  $m(u_0x)+m(xv_0)$  equals  $d(u_0v_0)$ . The case  $d(u_0v_0)\in\{0,1\}$  is impossible, otherwise x would be a 0- or 1-point. Hence,  $d(u_0v_0)=2$ . Next, if  $\{u_0,u_2\}\neq\{v_0,v_2\}$ , then  $\{u_1,u_3\}=\{v_1,v_3\}$  (as B and B' are in the same 2-clique H(x)), and the circuit  $u_0u_1v_0u_3u_0$  is as required in (4.7). And if  $\{u_1,u_3\}\neq\{v_1,v_3\}$ , then  $u_0=v_2$  and  $v_0=u_2$ , and we also have (4.7).

Similarly to (4.7), there is a 4-circuit C' = u'p'v'q'u' that surrounds y and satisfies m(ty) = d(tu') + m(u'y) and m(sy) = m(sv') + m(v'y).

Next, since the paths suxvt, sv'yu't and sxyt are shortest, the path uxyu' is shortest as well, i.e.,

$$(4.10) m(ux) + m(xy) + m(yu') = d(uu').$$

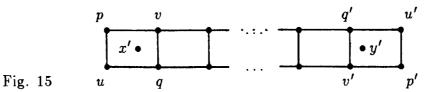
Let k = d(uu'). Since we can repeat the above arguments for u, u' in place of s, t, we may assume that d(uv') = d(vu') = k - 2. Then  $d(vv') \in \{k, k - 2, k - 4\}$  (as d(u'v') = 2). Consider these cases.

Case 1. d(vv') = k - 4. Then the path  $\widetilde{P} = uxvv'yu'$  is shortest. Therefore,  $m(xy) = m(xv) + d(vv') + m(v'y) \ge \sigma(x'y')$  (since  $m(xv) = \sigma(x'v)$  and  $m(v'y) = \sigma(v'y')$ , by (4.6), and, obviously,  $d(vv') \ge \sigma(vv')$ ).

Case 2. d(vv')=k. If k=2 then we may assume that C=C', whence  $m(xy)=\sigma^C(x'y')$ . Let  $k\geq 3$ . Then  $u\neq v'$  and  $v\neq u'$ . By Claim 1, there is a u-u' net  $\Gamma$  with  $R^\Gamma$  passing through v' and p' and  $L^\Gamma$  passing through p and p. Note that d(uu')=d(vv')=k is possible only if  $v=(0,2)_\Gamma$  and  $v'=(k-2,0)_\Gamma$ . Now the subgraph of  $\Gamma$  induced by  $(\xi,\eta)$  for  $\xi=0,1$  and  $\eta=0,1,2$  together with the path uqv contradicts (4.1).

Case 3. d(vv') = k - 2. Define  $\Gamma$  as in Case 2. In addition, we assume that  $\Gamma$  is represented so that  $\alpha$  is as small as possible, where  $u' = (\alpha, k - \alpha)_{\Gamma}$ . Then  $p = (0, 1)_{\Gamma}$  and  $p' = (\alpha, k - \alpha - 1)_{\Gamma}$ . One can see that d(vv') = k - 2 and d(uv) = d(v'u') = 2 are possible only if the second coordinate of v' is less by one than the second coordinate of v. Therefore, either (i)  $v = (0, 2)_{\Gamma}$  and  $v' = (k - 3, 1)_{\Gamma}$ , or (ii)  $v = (1, 1)_{\Gamma}$  and  $v' = (k - 2, 0)_{\Gamma}$ . Note that in case (i) we can remove from  $\Gamma$  the nodes  $(\xi, 0)$  with  $\xi > 0$  (if any) and add the node q and edges sq and qv, which results in a correct u - u' net  $\Gamma'$  with  $L^{\Gamma'}$  containing q and v. Also we can transform  $\Gamma$  with respect to u' and v' in a similar way. Thus, w.l.o.g. we may assume that  $\Gamma$  is a  $(k - 1) \times 1$  grid in which p, v, v', p', u' have the coordinates (0, 1), (1, 1), (k - 2, 0), (k - 1, 0), (k - 1, 1), respectively.

Next, let  $\widetilde{q}=(1,0)_{\Gamma}$ . If  $\widetilde{q}\neq q$  and  $m(px)+m(x\widetilde{q})>2$ , we can replace in  $\Gamma$  the node p by q (as  $m(qx)+m(x\widetilde{q})=2$ , by (4.6)) and rename  $p,q,\widetilde{q}$  as  $\widetilde{q},p,q$ , respectively. So we may assume that p,q,p',q' are the nodes (0,1),(1,0),(k-1,0),(k-2,1) of  $\Gamma$ ; see Fig. 15. Then x' and y' are points in the cells  $D^C$  and  $D^{C'}$  (respectively) of the subspace  $S^{\Gamma}$  of S. We have  $\sigma(ux')=m(ux)$  and  $\sigma(y'u')=m(yu')$ . Also  $\sigma(ux')+\sigma(y'u')\leq \sigma(px')+\sigma(y'p')$  (in view of (4.10) and  $m(px)+m(xy)+m(yp')\geq d(pp')=k$ ), whence  $\sigma^{\Gamma}(uu')=\sigma(ux')+\sigma^{\Gamma}(x'y')+\sigma(y'u')$ . Now (4.10) and  $\sigma^{\Gamma}(uu')=k=d(uu')$  imply  $m(xy)=\sigma^{\Gamma}(x'y')\geq \sigma(x'y')$ , as required.



We now prove the reverse inequality  $m(xy) \leq \sigma(x'y')$ . We use the fact, revealed in the above analysis (cf. (4.10)), that

 $(4.11) \text{ there are } u \in \mathcal{V}(x) \text{ and } u' \in \mathcal{V}(y) \text{ such that } m(ux) + m(xy) + m(yu') = d(uu').$ 

(Although it was shown in the case when both x and y are 2-points, one can check that it remains true in a general case.)

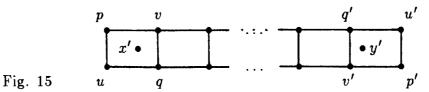
Consider a sequence  $P = x_0x_1 \dots x_N$  with  $x_0 = x'$  and  $x_N = y'$ , where  $x_1, \dots, x_{N-1}$  are points on the boundaries of some cells in S, and for  $i = 1, \dots, N, x_{i-1}$  and  $x_i$  belong to the same cell  $D_i = D^{C_i}$ . Let |P| := N and  $\zeta = \zeta(P) := \sum_i (\sigma^{D_i}(x_{i-1}x_i) : i = 1, \dots, N)$ . We have to show that

$$\zeta(\mathcal{P}) \geq m(x'y').$$

This is true when x' and y' lie on the same edge. Suppose that (4.12) is false in general, and let  $\mathcal{P}$  be a counterexample with  $\phi = \phi(\mathcal{P}) := |\mathcal{P}| - |\{x', y'\} \cap T|$  minimum among all corresponding x', y' and  $\mathcal{P}$ . Then  $\mathcal{P}$  has the following properties.

Case 3. d(vv') = k - 2. Define  $\Gamma$  as in Case 2. In addition, we assume that  $\Gamma$  is represented so that  $\alpha$  is as small as possible, where  $u' = (\alpha, k - \alpha)_{\Gamma}$ . Then  $p = (0, 1)_{\Gamma}$  and  $p' = (\alpha, k - \alpha - 1)_{\Gamma}$ . One can see that d(vv') = k - 2 and d(uv) = d(v'u') = 2 are possible only if the second coordinate of v' is less by one than the second coordinate of v. Therefore, either (i)  $v = (0, 2)_{\Gamma}$  and  $v' = (k - 3, 1)_{\Gamma}$ , or (ii)  $v = (1, 1)_{\Gamma}$  and  $v' = (k - 2, 0)_{\Gamma}$ . Note that in case (i) we can remove from  $\Gamma$  the nodes  $(\xi, 0)$  with  $\xi > 0$  (if any) and add the node q and edges sq and qv, which results in a correct u - u' net  $\Gamma'$  with  $L^{\Gamma'}$  containing q and v. Also we can transform  $\Gamma$  with respect to u' and v' in a similar way. Thus, w.l.o.g. we may assume that  $\Gamma$  is a  $(k - 1) \times 1$  grid in which p, v, v', p', u' have the coordinates (0, 1), (1, 1), (k - 2, 0), (k - 1, 0), (k - 1, 1), respectively.

Next, let  $\widetilde{q} = (1,0)_{\Gamma}$ . If  $\widetilde{q} \neq q$  and  $m(px) + m(x\widetilde{q}) > 2$ , we can replace in  $\Gamma$  the node p by q (as  $m(qx) + m(x\widetilde{q}) = 2$ , by (4.6)) and rename  $p,q,\widetilde{q}$  as  $\widetilde{q},p,q$ , respectively. So we may assume that p,q,p',q' are the nodes (0,1),(1,0),(k-1,0),(k-2,1) of  $\Gamma$ ; see Fig. 15. Then x' and y' are points in the cells  $D^C$  and  $D^{C'}$  (respectively) of the subspace  $S^{\Gamma}$  of S. We have  $\sigma(ux') = m(ux)$  and  $\sigma(y'u') = m(yu')$ . Also  $\sigma(ux') + \sigma(y'u') \leq \sigma(px') + \sigma(y'p')$  (in view of (4.10) and  $m(px) + m(xy) + m(yp') \geq d(pp') = k$ ), whence  $\sigma^{\Gamma}(uu') = \sigma(ux') + \sigma^{\Gamma}(x'y') + \sigma(y'u')$ . Now (4.10) and  $\sigma^{\Gamma}(uu') = k = d(uu')$  imply  $m(xy) = \sigma^{\Gamma}(x'y') \geq \sigma(x'y')$ , as required.



We now prove the reverse inequality  $m(xy) \leq \sigma(x'y')$ . We use the fact, revealed in the above analysis (cf. (4.10)), that

 $(4.11) \text{ there are } u \in \mathcal{V}(x) \text{ and } u' \in \mathcal{V}(y) \text{ such that } m(ux) + m(xy) + m(yu') = d(uu').$ 

(Although it was shown in the case when both x and y are 2-points, one can check that it remains true in a general case.)

Consider a sequence  $P = x_0x_1 \dots x_N$  with  $x_0 = x'$  and  $x_N = y'$ , where  $x_1, \dots, x_{N-1}$  are points on the boundaries of some cells in S, and for  $i = 1, \dots, N, x_{i-1}$  and  $x_i$  belong to the same cell  $D_i = D^{C_i}$ . Let |P| := N and  $\zeta = \zeta(P) := \sum_i (\sigma^{D_i}(x_{i-1}x_i) : i = 1, \dots, N)$ . We have to show that

$$\zeta(\mathcal{P}) \geq m(x'y').$$

This is true when x' and y' lie on the same edge. Suppose that (4.12) is false in general, and let P be a counterexample with  $\phi = \phi(P) := |P| - |\{x', y'\} \cap T|$  minimum among all corresponding x', y' and P. Then P has the following properties.

- (i) None of  $x_1, \ldots, x_{N-1}$  is in T. For if  $x_i \in T$  for some 0 < i < N, then at least one of  $x_0 \ldots x_i$  and  $x_i \ldots x_N$  is a smaller counterexample (in view of  $m(x_0 x_i) + m(x_i x_N) \ge m(x_0 x_N)$ ).
- (ii)  $x_{i-1}$  and  $x_i$  do not belong to the same edge e unless N=1. Otherwise we may assume that 0 < i < N and  $x_i$  is an interior point of e. Then removing  $x_i$  does not increase  $\zeta$  and decreases  $\phi$ .
- (iii)  $x_{i-1}$  and  $x_i$  do not belong to adjacent edges of a cell. For otherwise insert the common end z of these edges in P between  $x_{i-1}$  and  $x_i$ . This does not change  $\zeta$ , and after splitting the new P as in (i) and, possibly, removing a point as in (ii), we get a smaller counterexample.
- (iv)  $C_i$  and  $C_{i+1}$  do not belong to the same 2-clique. For otherwise removing  $x_i$  decreases both  $\zeta$  and  $\phi$ .

From these properties one can conclude that there are nodes  $y_0, y_1, \ldots, y_N$  and  $z_0, z_1, \ldots, z_N$  such that each circuit  $C_i$  is  $y_{i-1}z_{i-1}z_iy_iy_{i-1}$ , and for  $i=1,\ldots,N-1$ ,  $x_i$  is an interior point of the edge  $e_i=y_iz_i$  (so  $x_{i-1}$  and  $x_i$  lie on opposite edges of  $C_i$  when  $2 \leq i \leq N-1$ ). Moreover, all  $y_i$ 's are different and all  $z_i$ 's are different. Indeed, if  $y_i=y_j$  for i < j say, then  $|i-j| \geq 2$  and it is easy to see that replacing in P the elements  $x_{i'}, x_{i'+1}, \ldots, x_{j'}$  by  $y_i$  decreases both  $\varsigma$  and  $\phi$ , where i'=i if i>0 and i'=1 otherwise, and j'=j if j < N and j'=N-1 otherwise. To a similar reason,  $y_i \neq z_j$  for all i,j. Hence, the union of  $C_i$ 's is an  $N \times 1$  grid  $\Gamma'$  with the origin  $y_0$  and end  $z_N$ , x' belongs to the first cell  $D_1$ , and y' to the last cell  $D_N$  of  $S^{\Gamma'}$ .

Obviously,  $\zeta(\mathcal{P}) \geq \sigma^{\Gamma'}(x'y')$ . We show that  $\sigma^{\Gamma'}(x'y') \geq m(xy)$ , whence  $\mathcal{P}$  is not a counterexample. For any  $s \in \{y_0, z_0, y_1, z_1\}$  and  $t \in \{y_N, z_N, y_{N-1}, z_{N-1}\}$ , we have

$$(4.13) \sigma(sx') + \sigma^{\Gamma'}(x'y') + \sigma(y't) \geq \sigma^{\Gamma'}(st) = d(st).$$

In particular, if both x and y are 0-points, then  $\sigma^{\Gamma'}(x'y') = d(x'y') = m(xy)$ .

Suppose that both x,y are 2-points. Then  $\sigma(sx')=m(sx)$  for  $s=y_0,z_0,y_1,z_1$  and  $\sigma(ty')=m(ty)$  for  $t=y_N,z_N,y_{N-1},z_{N-1}$ . Consider u and u' as in (4.11). Denote by T(u) (T(u')) the maximal stable set of H(x) (resp. H(y)) that contains u (resp. u'). Let for definiteness  $y_0,z_1\in T(u)$ . If  $m(ux)\geq m(y_0x)$ , put  $s:=y_0$ . Otherwise we have  $u=z_1$  (in view of (4.6)) and put  $s:=z_1$ . Similarly, if  $y_N,z_{N-1}\in T(u')$ , we put  $t:=y_N$  if  $m(u'y)\geq m(y_Ny)$ , and put  $t:=z_{N-1}$  otherwise. Symmetrically, if  $z_N,y_{N-1}\in T(u')$ , put  $t:=z_N$  if  $m(u'y)\geq m(z_Ny)$ , and put  $t:=y_{N-1}$  otherwise. Suppose that  $N\geq 2$ . Then it is easy to see that  $d(uu')\leq d(st)$ . Comparing (4.11) and (4.13) and using the facts that  $m(ux)\geq m(sx)$  and  $m(u'y)\geq m(ty)$ , we conclude that  $m(xy)\leq \sigma^{\Gamma'}(x'y')$ . Now let N=1. Then H(x)=H(y), whence u,u' are opposite nodes of a 4-circuit C surrounding both x and y, and m(ux)+m(xy)+m(yu')=2. In this case the inequality  $m(xy)\leq \sigma^{\Gamma'}(x'y')$  is trivial.

Finally, if x is a 0-point (1-point), then x' is  $y_0$  or  $z_0$  (resp. x' lies on the edge  $y_0z_0$ ), and similarly if y is a 0- or 1-point. For these remaining cases the proof of  $m(xy) \leq \sigma^{\Gamma'}(x'y')$  specializes (and simplifies) corresponding arguments above.

This completes the proof of Theorem 4.1.

It remains to explain how, given a finite set  $V \supseteq T$  on S, to construct a mapping  $\gamma: V \to T$  with the desired property. For  $x \in S$  let D(x) be the cell of S where x is located (or one of such cells if many) and let  $(\xi(x), \eta(x))$  be the coordinates of x in D(x). Since V is finite, there exists  $0 < \varepsilon < 1$  such that, for any  $x \in V$ , one has  $\xi(x) \neq \varepsilon, 1 - \varepsilon$  and  $\eta(x) \neq \varepsilon, 1 - \varepsilon$ .

Choose an orientation of the edges of H as in Definition 4 in the Introduction. Consider a 4-circuit  $C = v_0v_1v_2v_3v_0$  of H. W.l.o.g. we may assume that the edges of C are directed as  $(v_0, v_1), (v_1, v_2), (v_3, v_2), (v_0, v_3)$ . Define the following regions in  $D^C$ :

$$egin{aligned} D_0 &= \{\{\xi,\eta\} \,:\, \xi,\eta < \varepsilon\},\ D_1 &= \{\{\xi,\eta\} \,:\, \xi < \varepsilon < \eta\},\ D_2 &= \{\{\xi,\eta\} \,:\, \xi,\eta > \varepsilon\},\ D_3 &= \{\{\xi,\eta\} \,:\, \eta < \varepsilon < \xi\}; \end{aligned}$$

see Fig. 16.

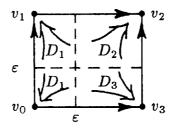


Fig. 16

By the choice of  $\varepsilon$ , each element of  $V \cap D^C$  is contained in exactly one of  $D_0, \ldots, D_3$ , and each  $D_i$  contains one node of C, denoted by  $h_i$  (so  $h_i = v_i$  for the above orientation of the edges of C). For  $i = 0, \ldots, 3$  and  $x \in D_i$ , define  $\gamma^C(x) = h_i$ . Taking the  $\gamma^C$ 's together for all 4-circuits C, we get a mapping  $\gamma$  of the union of all regions as above to T which is identical on T. One can see that  $\gamma$  is well-defined (in particular, considering a feasible orientation of edges of a 2-clique H', one can see that  $\gamma^C$ 's are compatible on common parts of cells C in the folder  $F^{H'}$ ). Also  $\gamma$  is monotone in the sense that if  $x, y \in D^C$  are such that either  $\xi(x) \leq \xi(y) \leq \xi(\gamma(x))$  or  $\xi(x) \geq \xi(y) \geq \xi(\gamma(x))$ , then  $\xi(\gamma(y)) = \xi(\gamma(x))$ , and similarly for the second coordinate  $\eta$ . This  $\gamma$  determines a 0-extension m' of H to V, and we show that m' H-decomposes  $m = \sigma_{|_V}$ .

For a sequence  $P = x_0 x_1 \dots x_k$  of points of S (a path on S), its length  $\sum (\sigma(x_{i-1} x_i) : i = 1, \dots, k)$  is denoted by  $\sigma(P)$ ; P is shortest if  $\sigma(P) = \sigma(x_0 x_k)$ . In view of (2.3) and Theorem 4.1, it suffices to prove the following.

**Lemma 4.2.** Let  $P = x_0 x_1 \dots x_k$  be a shortest path on S with  $x_0, x_k \in T$ , and for  $i = 0, \dots, k$ , let  $\xi(x_i), \eta(x_i) \neq \varepsilon, 1 - \varepsilon$ . Then the path  $\gamma(P) = \gamma(x_0)\gamma(x_1)\dots\gamma(x_k)$  is also shortest.

Proof. Suppose that this is false and choose a counterexample  $\mathcal{P} = x_0 x_1 \dots x_k$  with  $\sigma(\mathcal{P})$  minimum. Then none of  $x_1, \dots, x_{k-1}$  is in T. We show that there exists a counterexample  $\mathcal{P}'$  with  $\sigma(\mathcal{P}') < \sigma(\mathcal{P})$ , which leads to a contradiction because the lemma is obvious when  $\sigma(\mathcal{P})$  is 0 or 1.

We may assume that for  $i=1,\ldots,k,\ x_{i-1}$  and  $x_i$  belong to the same cell. This is because by the definition of  $\sigma$  there is a shortest path  $\mathcal{Q}=y_0y_1\ldots y_r$  from  $y_0=x_{i-1}$  to  $y_r=x_i$  in S such that each pair  $y_{j-1},y_j$  is in the same cell. Moreover,  $\mathcal{Q}$  can be chosen so that  $\xi(y_j),\eta(y_j)\neq\varepsilon,1-\varepsilon$  for  $j=0,\ldots,r$ . So we can insert  $\mathcal{Q}$  in  $\mathcal{P}$  in place of  $x_{i-1}x_i$ , obtaining again a minimal counterexample.

Consider a maximal part  $\mathcal{L}=x_0x_1\dots x_q$  of  $\mathcal{P}$  such that all  $x_0,\dots,x_q$  belong to the same cell,  $D^C$  say. We may assume that  $C=v_0v_1v_2v_3v_0$ , that  $x_0=v_0$ , that  $x_q$  is a point on the edge  $v_1v_2$ , and that  $v_0$  and  $v_1$  have the coordinates (0,0) and (0,1) in  $D^C$ , respectively. Let  $(\xi_i,\eta_i)$  be the coordinates of  $v_i$  in  $D^C$ ,  $i=0,\dots,q$  (so  $\xi_0=\eta_0=0$  and  $\eta_q=1$ ). Since  $\mathcal{L}$  is shortest,  $\xi_0\leq \xi_1\leq \dots \leq \xi_q$  and  $\eta_0\leq \eta_1\leq \dots \leq \eta_q$ . Obviously,  $\gamma(x_0)=v_0, \gamma(x_q)\in \{v_1,v_2\}$  and  $\gamma(x_i)\in \{v_0,v_1,v_2,v_3\}$  for  $i=1,\dots,q-1$ . Moreover, it follows from the monotone property that  $\xi_0'\leq \xi_1'\leq \dots \leq \xi_q'$  and  $\eta_0'\leq \eta_1'\leq \dots \leq \eta_q'$ , where  $\xi_i'=\xi(\gamma(x_i))$  and  $\eta_i'=\eta(\gamma(x_i))$ . Therefore, the path  $\gamma(\mathcal{L})$  is shortest. This implies that removing  $x_1,\dots,x_{q-1}$  from  $\mathcal{P}$  makes again a minimal counterexample. So we may assume that q=1.

Let  $\mathcal{P}'$  be the path obtained from  $\mathcal{P}$  by inserting  $v_1$  between  $x_0$  and  $x_1$ . Since  $\xi_0 = 0 < \xi_1$  and  $\eta_0 < 1 = \eta_1$ , the path  $x_0v_1x_1$  is shortest, whence  $\mathcal{P}'$  is shortest as well. Therefore,  $\mathcal{P}'$  is a minimal counterexample. But  $\mathcal{P}'$  has an intermediate element (namely,  $v_1$ ) in T, so  $\mathcal{P}'$  can be split into two paths one of which gives a smaller counterexample; a contradiction.

Thus, the 0-extension of H to V induced by  $\gamma$  H-decomposes  $\sigma_{|V}$ . This implies that H is minimizable and completes the proof of (ii) $\Rightarrow$ (i) in Theorem 1.

## 5. Relation to the multiflow locking problem

There is a wide subclass of graphs H=(T,U) as in (ii) of Theorem 1 for which the minimizability can be proved much simpler by use of a so-called multiflow locking theorem.

In the input of the multiflow locking problem, there are a graph G = (V, E) (with

possible multiple edges), a subset  $T \subseteq V$  and a collection  $A = \{A_1, \ldots, A_p\}$  of subsets of T. By a multiflow (multicommodity flow) f we mean a set of T-paths  $P_1, \ldots, P_k$  along with nonnegative rational weights  $\lambda_1, \ldots, \lambda_k$  such that for each  $e \in E$ , the sum of weights  $\lambda_i$  of the paths  $P_i$  going through e is at most one. For  $A \subseteq T$  let  $\phi^f(A)$  denote  $\sum (\lambda_i : i = 1, \ldots, k, P_i)$  has exactly one end in A, and  $\Delta(A)$  denote the minimum cardinality of a cut  $\delta(X)$  of G with  $X \cap T = A$ . (For  $X \subseteq V$ ,  $\delta(X)$  is the set of edges of G with one end in X and the other in V - X.) Obviously,  $\phi^f(A) \leq \Delta(A)$ , and f is said to lock A if this inequality holds with equality. The multiflow locking problem is to find a multiflow that locks simultaneously each  $A_j \in A$ . If such a problem has a solution for any graph G, A is called lockable. It is relatively easy to show that A is not lockable if it contains three pairwisely crossing members  $(A, B \subseteq T)$  are crossing if none of  $A \cap B$ ,  $A \cap B$ , A

**Theorem 5.1** [10] (see also [7,13]). Let A be 3-cross-free, i.e., no three members of A are pairwisely crossing. Then A is lockable. Moreover, if G is inner Eulerian, i.e., if  $|\delta(X)|$  is even for every  $X \subseteq V - T$ , then a multiflow f in G that locks each  $A_j \in A$  can be chosen integer-valued (i.e., with all  $\lambda_i$ 's integral).

Among various applications of the locking problem, one application, occurred in [10], is important to us. It concerns the dual of the minimum extension problem (2) defined in the Introduction. Given a metric  $\mu$  on T, the  $\mu$ -value of a multiflow f as above is  $\sum (\mu(s_it_i)\lambda_i: i=1,\ldots,k,\ P_i \text{ connects } s_i \text{ and } t_i)$ ; the maximum  $\mu$ -value of a multiflow is denoted by  $\nu^*=\nu^*(G,\mu)$ . It is easy to see that (2) (with  $\mu$  instead of  $d^H$ ) and:

(5.1) minimize the  $\mu$ -value of a multiflow for G, T,

form, in essense, a pair of dual linear programs, and therefore,  $\nu^* = \tau^*(G,\mu)$  (cf. [12]). Now suppose that  $\mu$  is a nonnegative linear combination  $\alpha_1\mu_1 + \ldots + \alpha_p\mu_p$ , where each  $\mu_j$  is the cut metric  $\rho^{A_j}$  on T induced by some  $A_j \subset T$  (i.e.,  $\mu_j(st) = 1$  if  $|\{s,t\}\cap A_j| = 1$ , and 0 otherwise). Moreover, suppose that the locking problem for these G and  $A = \{A_1, \ldots, A_p\}$  has a solution f. One can see (cf. [10]) that f gives an optimal solution to (5.1) and that, taking sets  $X_j \subset V$  with  $X_j \cap T = A_j$  and  $|\delta(X)| = \Delta(A_j)$ ,  $i = 1, \ldots, p$ , an optimal solution m to (2) is formed as  $m = \alpha_1 \rho^{X_1} + \ldots + \alpha_p \rho^{X_p}$ .

Next, it is not difficult to prove the following result (we omit its proof here).

#### Theorem 5.2. Let

(5.2) H satisfy (ii) in Theorem 1 and contain no subgraph  $K_{2,r}$  with  $r \geq 3$ .

Let  $U_1, \ldots, U_p$  be the orbits of H (defined in Section 2). Then:

- (i) each  $U_j$  is a cut  $\delta(A_j)$  of H;
- (ii) the set  $A = \{A_1, \ldots, A_p\}$  is 3-cross-free;
- (iii) for any  $s, t \in T$ ,  $d^H(st)$  equals the number of cuts  $\delta(A_j)$  separating s and t; in other words,  $d^H = \rho^{A_1} + \ldots + \rho^{A_p}$ .

Summing up the above-mentioned facts, we observe that if H satisfies (5.2) then, taking for each  $j=1,\ldots,p$  a minimum cardinality cut  $\delta(X_j)$  in G with  $X_j\cap T=A_j$ , we get an optimal solution m to (2) by putting  $m=\rho^{X_1}+\ldots+\rho^{X_p}$ . Moreover, these cuts can be chosen so that  $X_j$  and  $X_{j'}$  are crossing only if  $A_j$  and  $A_{j'}$  are crossing. Using the latter property, one can show that each maximal subset  $Z\subseteq V$  not separated by any cut  $\delta(X_j)$  (i.e.,  $Z\cap X_j\in\{\emptyset,Z\}$ ) contains exactly one element of T. This implies that m is a 0-extension, and we obtain  $\tau(G,H)=\nu^*(G,d^H)=\tau^*(G,H)$ . Thus, we have an alternative proof of the minimizability of the graphs as in (5.2) (e.g., for each bipartite planar graph without inner nodes of degree  $\leq 3$  and inner faces with more than four nodes).

Note also that from Theorem 5.1 it follows that for H as in (5.2) problem (5.1) has an integer optimal solution whenever G is inner Eulerian. A similar property for  $H = K_{2,r}$  was shown in [11]. However, one can show that such a property is not true for the minimizable graph H formed from  $K_{3,3}$  in the way described in Example 3 in the Introduction.

We conclude this paper with the open question: is problem (1) NP-hard for each non-mimimizable H?

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